Appendix

Table of indicators for comprehensive evaluation of public credit of enterprises in Huangpu district and Guangzhou Development District

| **S/N** | **Primary Indicator** | **Secondary Indicator** | **Content of Indicator** | **Type of Indicator** |
| --- | --- | --- | --- | --- |
| 1 | Administrative management | Administrative penalty information | The penalties of warning, fine, confiscation of illegal gains, or confiscation of illegal property against the enterprise and relevant responsible persons | Deduction indicator |
| 2 | Administrative enforcement information | Administrative enforcement records of various departments | Deduction indicator |
| 3 | Administrative inspection information | Inspection results of “random selection of both inspectors and inspection targets and the prompt release of results” and results of routine random inspections | Bonus indicator |
| 4 | Risk alert information | Enterprise with regulatory talks, warning letters, and risk reminders | Deduction indicator |
| 5 | Failure to fulfill annual reporting obligations to the administration for industry and commerce as per normal | Deduction indicator |
| 6 | Information about enterprise-related complaints | Deduction indicator |
| 7 | Frequently changing the name, registered address, or legal person of the enterprise | Deduction indicator |
| 8 | An affiliate of the enterprise was subject to such penalties as temporary detention of the license, revoking of the license, lowering of the qualification level, ordering the suspension of production and business, ordering closure, and restricting the development of production and business operation activities in the past year | Deduction indicator |
| 9 | An affiliate of the enterprise has been included in the list of entities with serious dishonest behaviors | Deduction indicator |
| 10 | Information about other dishonest acts | Information about other dishonest acts identified by government departments according to law | Deduction indicator |
| 11 | Judicial matters | Information about non-prosecution decision or criminal penalty exempted regarding corporate bribery | Information about the enterprise or its legal representative, actual controlling person, directors, supervisors, or senior executives being subject to a relative non-prosecution decision by the People’s Procuratorate or a guilty verdict with criminal penalty exempted by the People’s Court regarding corporate bribery in the past three years | Deduction indicator |
| 12 | Information about criminal penalties against personnel in the enterprise due to enterprise crime | Information about criminal penalties by the People’s Court against the legal representative, actual controlling person, directors, supervisors, or senior executives of the enterprise due to enterprise crime in the past three years | Deduction indicator |
| 13 | Information about criminal penalties against affiliates of the enterprises | An affiliate of the enterprise was ruled as constituting a crime, such as the crime of refusing to pay remuneration, crime of producing and marketing fake or sub-standard commodities, crime of illegally taking in deposits from the general public, crime of raising funds by means of fraud, crime of illegal business operation, and crime of corporate bribery, by an effective judgment from the People’s court with criminal penalties imposed in the past year | Deduction indicator |
| 14 | Judicial enforcement information | Information about the enterprise being subject to enforcement according to law due to refusal to execute an effective judgment from the People’s Court or an arbitral body in the past year | Deduction indicator |
| 15 | Organization evaluation | Results of State-level comprehensive evaluation of public credit of enterprises | Results of the comprehensive evaluation of public credit of the enterprise | Bonus indicator |
| 16 | Results of provincial comprehensive evaluation of public credit of enterprises | Results of the comprehensive evaluation of public credit of the enterprise | Bonus indicator |
| 17 | Results of municipal comprehensive evaluation of public credit of enterprises | Results of the comprehensive evaluation of public credit of the enterprise | Bonus indicator |
| 18 | Credit evaluation information in the field of taxation | Tax credit grade, taxpayer grade in the application for collection of VAT official invoices, and the like | Bonus indicator |
| 19 | Credit evaluation information in the field of import and export | Customs credit evaluation results and the like | Bonus indicator |
| 20 | Credit evaluation information in the field of technology | Innovation points and the like | Bonus indicator |
| 21 | Credit evaluation information in the field of ecology | Environmental protection credit evaluation and the like | Bonus indicator |
| 22 | Credit evaluation information in the field of labor | Harmonious labor relations grade and the like | Bonus indicator |
| 23 | Credit evaluation information in the field of fire protection | Fire safety credit grade and the like | Bonus indicator |
| 24 | Credit evaluation information in the field of sanitation and health | Sanitation grade of public place, grade of designated medical institution of social security medical insurance, and the like | Bonus indicator |
| 25 | Credit evaluation information in the field of work safety | Safety risk grade and the like | Bonus indicator |
| 26 | Credit evaluation information in the field of finance | Results of enterprise financing risk evaluation and regulatory grade of micro-credit company | Bonus indicator |
| 27 | Credit evaluation information in the field of culture and tourism | Credit grade of commercial entity in the culture and tourism market and the like | Bonus indicator |
| 28 | Credit evaluation information in the field of water service | Water service project construction and supervision enterprise credit score and the like | Bonus indicator |
| 29 | Credit evaluation information in the field of market supervision | Drug production and marketing entity credit grade, quality credit category of medical device manufacturer, food business risk grade, food risk grade of food production enterprise, quality credit grade of cosmetics manufacturer, quantitative grade for food safety of catering services, credit grade of dietary supplement manufacturer, star grade of Huangpu district brand certification, and the like | Bonus indicator |
| 30 | Credit evaluation information in the field of housing and urban-rural development | Credit grade of real estate agent, quality and safety risk grade of construction project, and the like | Bonus indicator |
| 31 | Credit evaluation information in the field of transportation | Work safety grade of motor vehicle driver training enterprise, work safety credit grade of motor vehicle repair enterprise, and the like | Bonus indicator |
| 32 | Information about discipline inspection and supervision penalties and evaluations | The legal representative, actual controlling person, directors, supervisors, or senior executives of the enterprise was subject to such penalties as party discipline, administrative sanction, and order to sign a statement of repentance by discipline inspection and supervision authorities regarding corporate bribery in the past three years | Deduction indicator |
| 33 | Credit evaluation information in other fields | Information about credit evaluation grades in industries other than the fields listed above | Bonus indicator |
| 34 | Business operation capacity | Business term information | The business term of the enterprise since its registration (excluding the period that it is out of business) | Bonus indicator |
| 35 | Capability certification information | Whether the enterprise is an enterprise above the designated size, listed company, hi-tech enterprise, unicorn company, gazelle company, “specialized, fined, peculiar, and innovative” enterprise, enterprise invested by Fortune Global 500 companies, and the like | Bonus indicator |
| 36 | Project investment information | The number of investment projects of the enterprise included in key investment projects of the state, province, municipality, and district in the past five years | Bonus indicator |
| 37 | Policy support information | The cumulative number of years and times receiving fiscal support | Bonus indicator |
| 38 | Technological research and development information | The number of patents and trademarks (the enterprise may spontaneously submit declarations, which may serve as the basis for evaluation upon verification by relevant government departments) | Bonus indicator |
| 39 | Talent information | The number of high-end talents (the enterprise may spontaneously submit declarations which may serve as the basis for evaluation upon verification by relevant government departments) | Bonus indicator |
| 40 | Qualification assessment information | Qualification certificates (the enterprise may spontaneously submit declarations on, which may serve as the basis for evaluation upon verification by relevant government departments) | Bonus indicator |
| 41 | Performance of contracts and agreements | Information about commitments fulfilled | The enterprise has fulfilled its commitments in such fields as approval based on trust under the commitment system, construction planning based on trust, rural collective asset transaction, implementation of policies, incentives to encourage investment, supervision on industrial land project investment, and floor area ratio enhancement for industrial land | Bonus indicator |
| 42 | Information about commitments not fulfilled | The enterprise has failed to fulfill its commitments in such fields as construction planning based on trust, approval based on trust under the commitment system, and rural collective asset transaction as identified by effective legal documents of relevant departments of the district people’s government or judicial authorities | Deduction indicator |
| 43 | Information about contracts performed | The enterprise has performed its obligations stipulated by government procurement contracts, contracts for construction projects invested by the fiscal fund, and financing guarantee contracts for the credit risk compensation fund pool of the government according to the agreement | Bonus indicator |
| 44 | Information about contracts not performed | The enterprise has failed to perform its obligations stipulated by government procurement contracts, contracts for construction projects invested by the fiscal fund, and financing guarantee contracts for the credit risk compensation fund pool of the government according to the agreement, as identified by effective legal documents of relevant departments of the district people’s government or judicial authorities | Deduction indicator |
| 45 | Corporate social responsibility | Information about tax payment | Abnormal tax accounts exist under the same legal representative | Deduction indicator |
| 46 | Existence of information about unsettled tax-related violations of laws and regulations | Deduction indicator |
| 47 | Protection of rights and interests of employees | Whether the enterprise pays for the social insurance of its employees on schedule | Qualitative indicator (bonus or deduction) |
| 48 | Whether the enterprise pays for the housing provident fund of its employees on schedule | Qualitative indicator (bonus or deduction) |
| 49 | Whether the enterprise pays employment guaranty fund for the disabled on schedule (or employs disabled persons) | Qualitative indicator (bonus or deduction) |
| 50 | Public utility payment information | Whether the enterprise has overdue public utility charges such as water, electricity, and gas | Qualitative indicator (bonus or deduction) |
| 51 | Public welfare and charity information | The number of times that the enterprise participated in public welfare activities such as poverty alleviation and volunteer service (the enterprise may spontaneously submit declarations, which may serve as the basis for evaluation upon verification by relevant government departments) | Bonus indicator |
| 52 | Charity donations of the enterprise (the enterprise may spontaneously submit declarations, which may serve as the basis for evaluation upon verification by relevant government departments) | Bonus indicator |
| 53 | Honors and commendations | The commendations and honorary titles awarded to the enterprise and its major executives by the government, such as National May 1 Labor Medal, Enterprise Observing Contracts and Valuing Credit, Government Quality Award, enterprises qualifying for the 400 points for excellent performance in Huangpu district, Leading Enterprises in Agricultural Demonstration, and Creditable Enterprise (the enterprise may spontaneously submit declarations, which may serve as the basis for evaluation upon verification by relevant government departments) | Bonus indicator |
| The enterprise shall be directly determined as Grade C under any of these circumstances (or Grade D if it simultaneously meets the conditions for determination as Grade D) | | | The enterprise belongs to the lists of enterprises under close attention such as the list of enterprises with abnormal operations (the credit evaluation grade shall be timely adjusted after the enterprise exits from the lists of enterprises under close attention) | |
| The enterprise has tax arrears, a tax status that is abnormal or belongs to deregistered abnormal account, or has not completed the confirmation of tax registration information (the credit evaluation grade shall be timely adjusted after the enterprise completes the rectification and fulfills its obligations) | |
| The enterprise has failed to fulfill its commitments in such fields as the implementation of policies, incentives to encourage investment, agreement on supervision of industrial land project investment, and floor area ratio enhancement for industrial land, as identified by effective legal documents of relevant departments of the district people’s government or judicial authorities (the credit evaluation grade shall be timely adjusted after the enterprise fulfills its commitments) | |
| Forging or altering results of comprehensive evaluation of public credit of enterprises in the past three years | |
| The enterprise was subject to such penalties as temporary detention of the license, revoking of the license, lowering of the qualification level, ordering the suspension of production and business, ordering closure, and restricting the development of production and business operation activities in the past three years | |
| The enterprise was ruled as constituting a crime, such as the crime of refusing to pay remuneration, crime of producing and marketing fake or sub-standard commodities, crime of illegally taking in deposits from the general public, crime of raising funds by means of fraud, crime of illegal business operation, and crime of corporate bribery, by an effective judgment from the People’s court with criminal penalties imposed in the past three years | |
| The enterprise shall be directly determined as Grade D under any of these circumstances | | | The enterprise has been included in the list of entities with serious dishonest behaviors | |
| The enterprise has had its business license revoked according to law | |
| Other serious dishonest acts with a severe negative impact on society, as stipulated by laws, regulations, and rules | |