

NATIONAL INTELLECTUAL PROPERTY ADMINISTRATION, PRC (CNIPA)

AS
DESIGNATED (OR ELECTED) OFFICE

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List of abbreviations:

Office:	National Intellectual Property Administration, PRC (CNIPA)
CPL:	Patent Law of the People's Republic of China
CPR:	Implementing Regulations of the Patent Law of the People's Republic of China
HKSAR:	Hong Kong Special Administrative Region of the People's Republic of China
IPD:	Intellectual Property Department of the HKSAR
Ordinance:	Patents Ordinance (Cap. 514)

SUMMARY**Designated
(or elected) Office****SUMMARY****CN****NATIONAL INTELLECTUAL PROPERTY
ADMINISTRATION, PRC (CNIPA)****CN****Summary of requirements for entry into the national phase**

Time limits applicable for entry into the national phase: ²	Under PCT Article 22(1): 30 months from the priority date ¹ Under PCT Article 39(1)(a): 30 months from the priority date ¹
Translation of international application required into: ^{2,3}	Chinese
Required contents of the translation for entry into the national phase: ^{2,3}	Under PCT Article 22: Request, description, claims (if amended, both as originally filed and as amended, if the applicant wishes the amendments to form the basis for the proceedings, together with any statement under PCT Article 19), any text matter of drawings, abstract Under PCT Article 39(1): Request, description, claims, any text matter of drawings, abstract (if any of those parts has been amended, both as originally filed and as amended by the annexes to the international preliminary examination report, if the applicant wishes the amendments to form the basis for the proceedings)
Is a copy of the international application required? ^{2,3}	The applicant should only send a copy of the international application if the National Intellectual Property Administration, PRC (CNIPA) has not received a copy of the international application from the International Bureau under PCT Article 20. This may be the case where the applicant expressly requests an earlier start of the national phase under PCT Article 23(2). In the HKSAR, the applicant should send a copy of the international application as published by the International Bureau and a copy of the international application as published by the National Intellectual Property Administration, PRC (CNIPA) if the international application was not published in Chinese in the international phase.

[Continued on next page]

¹ The time limit may be extended by two months, provided the applicant pays the prescribed fee (PCT Article 48 and Implementing Regulations of Chinese Patent Law, Rule 103).

² The People's Republic of China established the Hong Kong Special Administrative Region of the People's Republic of China (HKSAR) on 1 July 1997. The HKSAR operates an independent Patents Registry and all matters relating to the grant, administration or litigation in relation to patents are decided in the HKSAR according to the HKSAR's Patents Ordinance (Cap. 514). Patents granted by the National Intellectual Property Administration, PRC (CNIPA) are not automatically protected in the HKSAR but the grant of a patent by the National Intellectual Property Administration, PRC (CNIPA) can form the basis for patents in the HKSAR. In order to obtain patents via the PCT, the applicant must designate China. See paragraphs CN.17 to CN.19 of the chapter concerning the National Intellectual Property Administration, PRC (CNIPA), in National Phase of the *PCT Applicant's Guide*, for details of the national phase before the Intellectual Property Department (IPD) of the HKSAR.

³ Must be furnished within the time limit applicable under PCT Article 22 or 39(1).

SUMMARY**Designated
(or elected) Office****SUMMARY****CN****NATIONAL INTELLECTUAL PROPERTY
ADMINISTRATION, PRC (CNIPA)****CN***[Continued]*National fee:⁴

Currency: Yuan renminbi (CNY)

For a patent:

Filing fee:⁵ CNY 900Additional filing fee:⁵— for each sheet of the description in
excess of 30 sheets CNY 50— for each sheet of the description in
excess of 300 sheets CNY 100— for each claim in excess of 10⁶ CNY 150

Application publication fee: CNY 50

Fee for priority claims, per priority:⁵ CNY 80Examination fee:⁷ CNY 2,500

For a utility model:

Filing fee:⁵ CNY 500Additional filing fee:⁵— for each sheet of the description in
excess of 30 sheets CNY 50— for each sheet of the description in
excess of 300 sheets CNY 100— for each claim in excess of 10⁶ CNY 150Fee for priority claims, per priority:⁵ CNY 80Exemptions, reductions or refunds of the
national fee:⁴

No filing fee or additional filing fee is payable if the international application has been filed with the National Intellectual Property Administration, PRC (CNIPA) as receiving Office.

The examination fee is reduced by 20% where the international search report has been issued by the Japan Patent Office, the Swedish Patent and Registration Office or the European Patent Office.

No examination fee is payable if the international search report and the international preliminary report on patentability have been issued by the National Intellectual Property Administration, PRC (CNIPA).

A refund of 50% of the examination fee may be requested where the application has entered the substantive examination stage and the application is voluntarily withdrawn prior to the expiration of the time limit for responding to the first examination opinion (except when a response has already been submitted).

*[Continued on next page]*⁴ See footnote 2.⁵ This fee is due within the time limit applicable under PCT Article 22 or 39(1).⁶ The additional filing fee for entry into the national phase is calculated on the basis of the number of claims in the international application as originally filed, and not as subsequently reduced, if applicable.⁷ This fee is due within three years from the priority date.

SUMMARY**Designated
(or elected) Office****SUMMARY****CN****NATIONAL INTELLECTUAL PROPERTY
ADMINISTRATION, PRC (CNIPA)****CN***[Continued]*Special requirements of the Office
(PCT Rule 51*bis*):^{8, 9}Name of the inventor if it has not been furnished in the "Request"
part of the international application¹⁰Instrument of assignment of the priority right where the applicants
are not identical¹⁰Instrument of assignment of the international application if the
applicant has changed after the international filing date

Appointment of an agent

Evidence concerning exceptions to lack of novelty if the applicant
claims such exceptions in respect of the international applicationFurnishing, where applicable, of a nucleotide and/or amino acid
sequence listing in electronic formWho can act as agent?⁸Any of the patent agencies legally incorporated in China. A list of
patent agencies may be obtained from the Office.Does the Office accept requests for
restoration of the right of priority
(PCT Rule 49*ter.2*)?

No

⁸ See footnote 2.⁹ If not already complied with within the time limit applicable under PCT Article 22 or 39(1), the Office will invite the applicant to comply with the requirement within a time limit fixed in the invitation.¹⁰ This requirement may be satisfied if the corresponding declaration has been made in accordance with PCT Rule 4.17.

THE PROCEDURE IN THE NATIONAL PHASE

CN.01 FORM FOR ENTERING THE NATIONAL PHASE. The Office has available special forms for entering the national phase (see Annex CN.II for invention applications and Annex CN.III for utility model applications). These forms should preferably (but need not) be used when effecting the payment of the national fee and for the furnishing of the translation of the international application into Chinese. The forms are also available on the Office's website at www.cnipa.gov.cn.

CN.02 TRANSLATION (CORRECTION). Errors in the translation of the international application can be corrected with reference to the text of the international application as filed (see National Phase, paragraphs 6.002 and 6.003).

CN.03 FEES (MANNER OF PAYMENT). The manner of payment of the fees indicated in the Summary and in this Chapter is outlined in Annex CN.I.

CPL Art. 35
CPR Rules 93
96

CN.04 REQUEST FOR EXAMINATION. The Office examines patent applications as to substance only upon a request for examination and the payment of an examination fee which must be effected within three years from the priority date. The amount of the examination fee is indicated in Annex CN.I. A copy of the form for requesting examination is contained in Annex CN.IV. The form is also available on the Office's website at www.cnipa.gov.cn.

CPR Rule 15

CN.05 POWER OF ATTORNEY. An agent must be appointed by filing a power of attorney.

PCT Art. 28
41
CPR Rules 51
112

CN.06 AMENDMENT OF THE APPLICATION; TIME LIMITS. With regard to an international application for a utility model, the applicant may file a request with the Office to amend the description, drawings and claims on his own initiative within two months from the date of entry into the national phase. With regard to an international application for a patent of invention, the applicant may amend the application on his own initiative at the time when a request for examination is made, and within three months after the receipt of the notification of the Office that the application has entered into examination as to substance. Where the applicant wishes to amend the application, to correct defects indicated in the notification of opinions of the examination issued by the Office, such amendments shall be made within the time limit set by the Office.

CN.07 INSTRUMENT OF ASSIGNMENT. The applicant is presumed to have the right to file the international application and therefore no instrument of assignment is required if the international application is filed by an entity for an employee invention made by an inventor who is an employee of that entity. After the application is filed, an instrument of assignment is required for each change if there is any change in the person of the applicant.

PCT Art. 17(3)(b)
34(3)(c)
PCT Rule 115

CN.08 SPECIAL FEE IN CASE OF LACK OF UNITY OF INVENTION. Where a part of the international application was not subjected to international search or preliminary examination because the international application did not comply with the requirement of unity of invention and the applicant did not pay the additional search or preliminary examination fee to the International Searching or Preliminary Examination Authority, the Office will decide whether the said finding as regards the application translated into the Chinese language was correct. If this is found to be the case, the Office will invite the applicant to pay a special fee within the time limit fixed in the notification of this decision. The amount of the said fee is indicated in Annex CN.I. Where the applicant does not pay the special fee, that part of the international application which was not subjected to international search or preliminary examination will be considered withdrawn.

CPL Art. 43 CPR Rules 54 98	CN.09	ANNUAL FEES. The first annual fee must be paid within two months from the receipt of the notice from the Office of the grant of the patent. All subsequent annual fees must be paid in advance within the month before the anniversary of the international filing date. If the annual fee is not paid or not paid in full, the Office will invite the applicant to pay it within six months from the expiration of the time limit due, with a surcharge. Where the annual fee is not paid within the prescribed time limit, the patent shall be deemed lapsed from the expiration of the time limit within which the annual fee should have been paid. The amounts of the annual fees are indicated in Annex CN.I.
PCT Art. 25 PCT Rule 51 CPR Rule 116	CN.10	REVIEW UNDER ARTICLE 25 OF THE PCT. The applicable procedure is outlined in paragraphs 6.018 to 6.021 of the National Phase. Where an international application in the international phase has not been accorded an international filing date or has been considered withdrawn by one of the international authorities, the applicant may request reconsideration by the Office.
PCT Art. 24(2) 48(2) PCT Rule 82 <i>bis</i> CPR Rule 103	CN.11	EXCUSE OF DELAYS IN MEETING TIME LIMITS. If the applicant fails to perform the acts necessary for entry into the national phase within the prescribed time limit of 30 months, he may perform the required acts before the expiration of a time limit of 32 months from the priority date, provided that he pays a surcharge for late entry into the national phase.
CPR Rules 6 105	CN.12	Subject to CPR Rule 105, where, because of force majeure, after having performed the acts necessary for entering the national phase, the time limit prescribed in the CPL or the CPR or specified by the Office is not observed, resulting in the loss of any right, the applicant may request restoration of his rights within two months from the date of the removal of the impediment, or, at the latest, within two years from the expiration of the time limit. Such a request should be accompanied by a statement of reasons for the failure to meet the time limit and any relevant supporting documents. Where, because of any justified reason, the time limit prescribed in the CPL of the CPR or specified by the Office is not observed, resulting in the loss of any right, the applicant may state the reasons and request the Office to restore his rights, within two months from the date of receipt of a notification from the Office.
	CN.13	RESTORATION OF PRIORITY CLAIM. Where the International Bureau or the receiving Office has declared that the priority claim is considered not to have been made according to PCT Rule 26 <i>bis</i> .2 and the related information has been published together with the international application, the applicant may, when entering the national phase, request restoration of the priority claim. The request for restoration of the priority claim is subject to the payment of a fee (for the amount, see Annex CN.I).
CPR Rules 38 39 PCT Rules 49 <i>bis</i> .1(a) 76.5	CN.14	UTILITY MODEL. If the applicant wishes to obtain a utility model instead of a patent in China, on the basis of an international application, the applicant, when performing the acts referred to in PCT Article 22 or 39, shall so indicate to the Office.
	CN.15	The requirements for the national phase are basically the same as for patents, except that for utility models a drawing must be furnished and no examination as to substance is carried out. An international application for a patent may not be converted into a utility model application, and vice versa.
CPL Art. 41 CPR Rules 59-64	CN.16	APPEALS. Where an international application is rejected in the national phase, the applicant may, within three months from the date of receipt of the notification, request reexamination by the Patent Reexamination Board and pay a reexamination fee.
	CN.17	HOW TO GET PROTECTION IN THE HKSAR. Patent protection for HKSAR can only be obtained on the basis of an international application in which China has been designated and which has validly entered the national phase before the National Intellectual Property Administration, PRC (CNIPA). The further procedure before the IPD of the HKSAR is laid down in the Patents Ordinance (Cap. 514).
Ordinance Sec. 16	CN.17.01	STANDARD PATENT. Section 16 of the Ordinance provides for a standard patent based on an international application designating China.

CN.17.02 FORM FOR RECORDING AN APPLICATION FOR A STANDARD PATENT. For recording an application for a standard patent, use of the specified form is required. The form “Request to Record a Designated Patent Application for a Standard Patent” can be downloaded from the IPD website (www.ipd.gov.hk).

Ordinance Sec. 16 **CN.17.03 DOCUMENTS FOR RECORDING AN APPLICATION FOR A STANDARD PATENT.** In addition to the form specified under paragraph CN.17.02, the applicant has to file:

(i) a photocopy of the international application as published by the International Bureau;

(ii) a photocopy of the international application published by the National Intellectual Property Administration, PRC (CNIPA) if the international application was not published in Chinese in the international phase;

(iii) a photocopy of any publication of information by the National Intellectual Property Administration, PRC (CNIPA) concerning the international application.

CN.17.04 SPECIAL REQUIREMENTS OF THE STANDARD PATENT. The applicant has to file:

(i) in both Chinese and English: the title of the invention and the abstract;

(ii) the name of the inventor if it has not been furnished in the “Request” part of the international application;

(iii) a statement explaining the entitlement to apply for the grant of a standard patent and the prescribed documents supporting that statement if the applicant is not the same as the one in the international application.

CN.17.05 FEES (MANNER OF PAYMENT). The manner of payment of the fees indicated in this chapter is outlined in Annex CN(HK).I.

Ordinance Sec. 15 **CN.17.06 TIME LIMITS FOR MEETING THE REQUIREMENTS.** An application for a standard patent (“Request to Record”) and the documents specified under paragraph CN.17.03 may be filed at any time within six months from the date of the Chinese national publication; or if the international application was published in Chinese in the international phase, they may be filed at any time within six months from the date of the issuance of the National Application Notification (PCT/CN 503) by the National Intellectual Property Administration, PRC (CNIPA). The filing and advertisement fees shall be payable within one month after the earliest filing of the “Request to Record.”

CN.17.07 ADDRESS FOR SERVICE. The applicant is not required to appoint an agent but he is required to have an address for service in the HKSAR. The address so furnished shall be treated for the purpose of the application as the address for service of the applicant. If the applicant appoints an agent, his agent is required to provide the address in the HKSAR where he resides or carries out his business activities.

Ordinance Sec. 23 **CN.17.08 REQUEST FOR REGISTRATION AND GRANT.** Where a “Request to Record” has been recorded in the register and has been published and the “Request to Record” is not refused or deemed withdrawn or abandoned and a patent has been granted by the National Intellectual Property Administration, PRC (CNIPA), the applicant shall request the registration and grant of a standard patent.

Ordinance Sec. 23 CN.17.09 **REQUIREMENTS FOR THE REQUEST FOR REGISTRATION AND GRANT.** The applicant has to file within six months after the date of grant by the National Intellectual Property Administration, PRC (CNIPA) or the publication of the “Request to Record,” **whichever is later:**

(i) the form “Request for Registration of a Designated Patent and Grant of a Standard Patent”;

(ii) a verified copy of the specification of the granted patent published by the National Intellectual Property Administration, PRC (CNIPA);

(iii) a statement indicating the derivation of the applicant’s right to request registration and the prescribed documents supporting that statement if the applicant is not the same as the one recorded in the register.

The filing and advertisement fees shall be paid within one month after the earliest filing of the “Request for Registration and Grant.”

Ordinance Sec. 33 CN.17.10 **MAINTAINING APPLICATION FOR A STANDARD PATENT.** A fee is payable for maintaining a standard patent application which has not matured to registration. This maintenance fee becomes payable for maintaining the standard patent application for a further year after the expiry of the fifth year or any succeeding year thereafter from the date specified in the Ordinance. The specified date is the anniversary (i.e., one year after) of the international filing date first occurring after the date of publication of the “Request to Record” in the HKSAR. Failure to pay the maintenance fee leads to the patent application being deemed withdrawn and abandoned. However, if the applicant pays the maintenance fee and the additional fee within six months after the specified maintenance fee due date, the patent application shall be treated as if it had not been withdrawn or abandoned.

Ordinance Sec. 39 CN.17.11 **RENEWAL FEES.** A standard patent may be kept in force by payment of a renewal fee. The first renewal fee becomes payable for keeping the standard patent in force after the expiry of the third year calculated from the date specified in the Ordinance. Thereafter, the renewal fee must be paid before the expiry of each succeeding year. The specified date is the anniversary (i.e., one year after) of the international filing date of the standard patent first occurring after the date of grant of the patent in the HKSAR. Failure to pay the renewal fee leads to the patent ceasing to have effect. However, if the proprietor pays the renewal fee and the additional fee within six months after the specified renewal fee due date, the patent shall be treated as if it had never expired.

CN.18.01 **SHORT-TERM PATENTS.** Section 125 of the Patents Ordinance provides for a short-term patent based on an international application designating China and seeking protection for a utility model.

(i) The level of inventiveness required for a short-term patent is the same as that for a standard patent.

(ii) The term of a short-term patent is shorter than that of a standard patent. It shall remain in force for a period of eight years from the international filing date, subject to payment of the renewal fee.

CN.18.02 **FORM FOR FILING AN APPLICATION FOR A SHORT-TERM PATENT.** For filing the application for a short-term patent the use of the specified form is required. The form “Request for Grant of a Short-Term Patent” can be downloaded from the IPD website (www.ipd.gov.hk).

Ordinance Sec. 113
125

CN.18.03 REQUIREMENTS FOR FILING AN APPLICATION FOR A SHORT-TERM PATENT. In addition to the form specified under paragraph CN.18.02, the applicant has to file:

- (i) a photocopy of the international application as published by the International Bureau;
- (ii) a photocopy of the international search report (whether contained in the international application as published or separately published);
- (iii) a photocopy of any publication of information by the National Intellectual Property Administration, PRC (CNIPA) concerning the international application;
- (iv) the date of the issuance of the National Application Notification by the National Intellectual Property Administration, PRC (CNIPA).

CN.18.04 SPECIAL REQUIREMENTS FOR A SHORT-TERM PATENT. The applicant has to file:

- (i) in both Chinese and English: the title of the invention and the abstract;
- (ii) the name and address of the inventor if they have not been furnished in the “Request” part of the international application;
- (iii) a statement indicating the derivation of the entitlement to exercise the right to the short-term patent if the applicant is not the sole inventor or the applicants are not the joint inventors.

CN.18.05 FEES (MANNER OF PAYMENT). The manner of payment of the fees indicated in this chapter is outlined in Annex CN(HK).I.

Ordinance Sec. 113
125

CN.18.06 TIME LIMITS FOR MEETING THE REQUIREMENTS. An application for a short-term patent and the documents specified under paragraph CN.18.03 may be filed at any time within six months from entry into the national phase before the National Intellectual Property Administration, PRC (CNIPA). The filing and advertisement fees shall be payable within one month after the earliest filing of the application.

CN.18.07 ADDRESS FOR SERVICE. The applicant is not required to appoint an agent but he is required to have an address for service in the HKSAR. The address so furnished shall be treated for the purpose of the application as the address for service of the applicant. If the applicant appoints an agent, his agent is required to provide the address in the HKSAR where he resides or carries out his business activities.

Ordinance Sec. 126

CN.18.08 RENEWAL FEES. The term of a short-term patent is eight years from the international filing date, subject to payment of the renewal fee. A renewal fee is payable if the proprietor wants to have his short-term patent in force for a further period of four years after the expiry of the fourth year from the international filing date. Failure to pay the renewal fee leads to the short-term patent ceasing to have effect. However, if the proprietor pays the renewal fee and the additional fee within six months after the specified renewal fee due date, the patent shall be treated as if it had never expired.

CN.19 RESTORATION. The Ordinance provides for the restoration of standard patent applications and patents, and the restoration of short-term patents.

FEES**(Currency: Yuan renminbi)**

	Patents	Utility models
Filing fee	900	500
Application publication fee	50	—
Additional filing fee:		
— for each sheet of the description in excess of 30 sheets	50	50
— for each sheet of the description in excess of 300 sheets	100	100
— for each claim in excess of 10 ¹	150	150
Fee for priority claims, per priority	80	80
Surcharge for late entry into the national phase	1,000	1,000
Handling fee for rectifying errors in translation in the preliminary examination phase	300	300
Handling fee for rectifying errors in translation in the substantive examination phase	1,200	1,200
Restoration fee for unity of invention	900	900
Handling fee for change of bibliographic data:		
— for change of applicant, inventor or patentee	200	200
— for change of agency or agent	50 ²	50 ²
Fee for requesting restoration of rights ³	1,000	1,000
Fee for requesting restoration of priority claim ⁴	1,000	1,000
Examination fee	2,500	—
Fee for requesting extension of time limit:		
— first time, per month	300	300
— second time, per month	2,000	2,000
Fee for requesting invalidation	3,000	1,500
Re-examination fee	1,000	300
Registration fee, printing fee and stamp fee	255 ⁵	205 ⁵

¹ The additional filing fee for entry into the national phase is calculated on the basis of the number of claims in the international application as originally filed, and not as subsequently reduced, if applicable. For further details on the fees, see www.cnipa.gov.cn/zhfwpt/zlsqzn_pt/zlsqdfy/index.htm.

² The collection of this fee has been suspended by the Office as from 1 August 2018 and the applicant is not required to pay the fee from that date.

³ This fee is applicable under CPR Rule 6.

⁴ This fee is only applicable where the International Bureau or the receiving Office has declared that the priority claim is considered not to have been made according to PCT Rule 26bis.2 and the related information has been published together with the international application. Applicants may, when entering the national phase, request restoration of the priority claim.

⁵ The collection of the registration fee (CNY 200 for a patent, CNY 150 for a utility model) and the printing fee (CNY 50) has been suspended by the Office as from 1 August 2018 and the applicant is not required to pay these fees from that date. The stamp fee (CNY 5) remains payable.

Annual fees:

— for the 1 st to the 3 rd years, per year	900	600
— for the 4 th year	1,200	900
— for the 5 th year	1,200	900
— for the 6 th year	1,200	1,200
— for the 7 th year	2,000	1,200
— for the 8 th year	2,000	1,200
— for the 9 th year	2,000	2,000
— for the 10 th year.	4,000	2,000
— for the 11 th year.	4,000	—
— for the 12 th year.	4,000	—
— for the 13 th to the 15 th years, per year	6,000	—
— for the 16 th to the 20 th years, per year	8,000	—

How can payment of fees be effected?

All fees must be paid directly to the National Intellectual Property Administration, PRC (CNIPA) or paid by way of bank or postal remittance, or by of any other means as prescribed by the Office.

国际申请进入中国国家阶段声明（发明）

请按照“注意事项”正确填写本表各栏			此框内容由国家知识产权局填写	
⑤ 专利代理机构案卷号			① 国家申请号	
⑥ 国际申请号				
⑦ 国际申请日			② 递交日	
⑧ 优先权日				
⑨ 国际公布号			③ 费减审批	
⑩ 国际公布日				
⑪ 国际公布语言			④ 挂号号码	
⑫ 发明名称				
⑬ 发明人	发明人 1		<input type="checkbox"/> 不公布姓名	
	发明人 2		<input type="checkbox"/> 不公布姓名	
⑭ 第一发明人	国籍		居民身份证件号码	
⑮ 申请人	申 请 人 (1)	姓名或名称:	申请人类型	
		居民身份证件号码或统一社会信用代码/组织机构代码 <input type="checkbox"/> 请求费减且已完成费减资格备案	电子邮箱	
		国籍或注册国家(地区)	经常居所地或营业所所在地	
		省、自治区、直辖市		
		市县		
		详细地址		
		邮政编码	电话	
	申 请 人 (2)	姓名或名称:	用户代码	申请人类型
		居民身份证件号码或统一社会信用代码/组织机构代码 <input type="checkbox"/> 请求费减且已完成费减资格备案		
		国籍或注册国家(地区)		经常居所地或营业所所在地
		省、自治区、直辖市		
		市县		
		详细地址		
		邮政编码		电话
⑯ 联系人	姓 名	电 话		
	省、自治区、直辖市			
	市县			
	详细地址			
	邮政编码	电子邮箱		
⑰ 代表人	为非第一署名申请人时声明 特声明第 署名申请人为代表人			

国际申请进入中国国家阶段声明（发明）

⑱ 专 利 代 理 机 构	<input type="checkbox"/> 声明已经与申请人签订了专利代理委托书且本表中的信息与委托书中相应信息一致				
	名称		机构代码		
	代 理 人 (1)	姓 名	代 理 人 (2)	姓 名	
		执业证号		执业证号	
	电 话		电 话		
<p>⑲ 提前处理</p> <p><input checked="" type="checkbox"/>自优先权日起 30 个月的期限尚未届满，请求国家知识产权局根据专利法实施细则第 111 条提前处理和审查本国际申请。</p> <p><input type="checkbox"/>本国际申请尚未国际公布，请求国家知识产权局作为指定局要求国际局传送国际申请文件副本。 *自优先权日起 30 个月的期限尚未届满，申请人不要求提前处理本国际申请，请取消上述默认选项。</p>					
<p>⑳ <input type="checkbox"/>提前公布 根据专利法第 34 条的规定，请求早日公布该专利申请。</p>					
<p>㉑ 审查基础文本声明</p> <p><input type="checkbox"/>以原始国际申请文件中的中文译文为审查基础</p> <p><input type="checkbox"/>以下列申请文件为审查基础</p>					
<input type="checkbox"/> 说明书		第 页，按原始国际申请文件的中文译文	第 页，按专利性国际初步报告（PCT 第二章）附件的中文译文	第 页，按专利合作条约第 28/41 条提出的修改	
<input type="checkbox"/> 权利要求		第 项，按原始国际申请文件的中文译文	第 项，按专利合作条约第 19 条修改的中文译文	第 项，按专利性国际初步报告（PCT 第二章）附件的中文译文	
		第 项，按专利合作条约第 28/41 条提出的修改			
<input type="checkbox"/> 附图		第 页，按原始国际申请文件的中文译文	第 页，按专利性国际初步报告（PCT 第二章）附件的中文译文	第 页，按专利合作条约第 28/41 条提出的修改	
<input type="checkbox"/> 核苷酸和 / 或氨基酸序列表		第 页，按原始国际申请文件	第 页，按专利性国际初步报告（PCT 第二章）附件	第 页，按专利合作条约第 28/41 条提出的修改	
㉒ 要 求 优 先 权 声 明	序号	原受理机构名称	在先申请日	在先申请号	<p>㉓ 关于遗传资源的说明</p> <p><input type="checkbox"/>本国际申请涉及的发明创造是依赖于遗传资源完成的</p>
	1				
	2				
	3				
	4				
	5				
	6				
	7				
8					

国际申请进入中国国家阶段声明（发明）

<p>②4 关于援引加入的说明</p> <p><input type="checkbox"/> 本国际申请在国际阶段含有援引加入项目或部分，提交的中文译文中未包含援引加入项目或部分。</p> <p><input type="checkbox"/> 本国际申请在国际阶段含有援引加入项目或部分，提交的中文译文中包含下列援引加入项目或部分，请求修改相对于中国的申请日：</p> <p><input type="checkbox"/> 说明书 第 _____ 页，国际阶段提交援引加入的时间为 _____ ；</p> <p><input type="checkbox"/> 权利要求 第 _____ 项，国际阶段提交援引加入的时间为 _____ ；</p> <p><input type="checkbox"/> 附图 第 _____ 页，国际阶段提交援引加入的时间为 _____ 。</p>				
<p>②5 生物材料样品保藏</p> <p><input type="checkbox"/> 本国际申请涉及的生物材料样品的保藏已在专利合作条约实施细则第 13 条之 2.4 规定的期限内以下列形式作出记载：</p>				
保藏编号	保藏日期	保藏单位代码	说明书译文第_页_行或 PCT/RO/134 表	是否存活
				<input type="checkbox"/> 是 <input type="checkbox"/> 否
				<input type="checkbox"/> 是 <input type="checkbox"/> 否
				<input type="checkbox"/> 是 <input type="checkbox"/> 否
				<input type="checkbox"/> 是 <input type="checkbox"/> 否
				<input type="checkbox"/> 是 <input type="checkbox"/> 否
<p>②6 不丧失新颖性宽限期声明</p> <p><input type="checkbox"/> 已在中国政府主办或承认的国际展览会上首次展出，并在提出国际申请时作出过声明。</p> <p><input type="checkbox"/> 已在规定的学术会议或技术会议上首次发表，并在提出国际申请时作出过声明。</p>				
<p>②7 复查请求</p> <p><input type="checkbox"/> 申请人于 _____ 年 _____ 月 _____ 日收到下列通知：</p> <p><input type="checkbox"/> 受理局拒绝给予国际申请日 <input type="checkbox"/> 国际局按专利合作条约第 12 条（3）作出认定</p> <p><input type="checkbox"/> 受理局宣布申请被认为撤回</p> <p><input type="checkbox"/> 根据专利合作条约第 25 条特此向国家知识产权局提出复查请求，并且</p> <p><input type="checkbox"/> 已请求国际局将档案中有关文件传送国家知识产权局；</p> <p><input type="checkbox"/> 已依照专利法实施细则第 103 条的规定办理进入中国国家阶段的手续。</p>				

国际申请进入中国国家阶段声明（发明）

<p>⑳ 申请文件清单</p> <p>1、 进入声明 份 页</p> <p>2、 说明书摘要 份 页</p> <p>3、 摘要附图 份 页</p> <p>4、 权利要求书 份 页</p> <p>5、 说明书 份 页</p> <p>6、 说明书附图 份 页</p> <p>7、 PCT/R0/134 表 份 页</p> <p>8、 核苷酸和/或氨基酸序列表 份 页</p> <p>9、 计算机可读形式序列表 份 页</p> <p>权利要求的项数 项</p>	<p>㉑ 附加文件清单</p> <p><input type="checkbox"/> 按专利合作条约第 19 条修改的中文译文 份 页</p> <p><input type="checkbox"/> 专利性国际初步报告（PCT 第二章）附件的中文译文 份 页</p> <p><input type="checkbox"/> 按专利合作条约第 28/41 条提出的修改 份 页</p> <p><input type="checkbox"/> 专利代理委托书 份 页 总委托书（备案编号_____）</p> <p><input type="checkbox"/> 实质审查请求书 份 页</p> <p><input type="checkbox"/> 申请权转让证明 份 页</p> <p><input type="checkbox"/> 申请权转让证明中文题录 份 页</p> <p><input type="checkbox"/> 优先权转让证明 份 页</p> <p><input type="checkbox"/> 优先权转让证明中文题录 份 页</p> <p><input type="checkbox"/> 著录项目变更申报书 份 页</p> <p><input type="checkbox"/> 生物材料样品保藏证明 份 页</p> <p><input type="checkbox"/> 生物材料样品存活证明 份 页</p> <p><input type="checkbox"/> 生物材料样品保藏及存活证明中文题录 份 页</p> <p><input type="checkbox"/> 遗传资源来源披露登记表 份 页</p> <p><input type="checkbox"/> 经确认的国际申请副本 份 页</p> <p><input type="checkbox"/> 在先申请文件副本中文题录 份 页</p> <p><input type="checkbox"/> 已备案的证明文件 份 页 (证明文件备案编号_____)</p>
<p>㉒ 全体申请人或专利代理机构签字或者盖章</p> <p style="text-align: right;">年 月 日</p>	<p>㉓ 国家知识产权局审核意见</p> <p style="text-align: right;">年 月 日</p>

注 意 事 项

- 一、国际申请希望在中国获得专利保护的，应当在专利法实施细则第 103 条规定的期限内办理进入中国国家阶段手续。办理进入国家阶段手续的，应当符合专利法实施细则第 104 条的规定。
- 二、办理进入国家阶段手续时应当提交国际申请进入中国国家阶段声明及权利要求书、说明书、说明书摘要的中文译文，有说明书附图及摘要附图的应当提交说明书附图及摘要附图副本，并缴纳相关费用。（表格可在国家知识产权局网站 www.sipo.gov.cn 下载）
- 三、本表应当使用国家公布的中文简化汉字填写，表中文字应当打字或者印刷，字迹为黑色。
- 四、本表中方格供填表人选择使用，若有方格后所述内容的，应当在方格内作标记。
- 五、本表中所有详细地址栏，本国的地址应当包括省（自治区）、市（自治州）、区、街道门牌号码，或者省（自治区）、县（自治县）、镇（乡）、街道门牌号码，或者直辖市、区、街道门牌号码。有邮政信箱的，可以按规定使用邮政信箱。外国的地址应当注明国别、市（县、州）。其中申请人、专利代理机构、联系人的详细地址应当满足邮件能够迅速、准确投递的要求。
- 六、填表说明
 1. 本表第 1、2、3、4、31 栏，由国家知识产权局填写。
 2. 本表第 5 栏，申请人委托专利代理机构的，专利代理机构有案卷号的可填写此栏。
 3. 本表第 6、7、8 栏，申请人应当正确填写。
 4. 本表第 9、10、11 栏，国际申请已进行国际公布的，申请人应当正确填写相应内容。
 5. 本表第 12 栏，发明名称应当准确、简短。
 6. 本表第 13 栏，发明人应当是个人，此栏应当填写对中国的发明人。发明人可以请求国家知识产权局不公布其姓名。
 7. 本表第 14 栏，应当填写第一发明人国籍，第一发明人为中国内地居民的，应当同时填写居民身份证号码。
 8. 本表第 15 栏，应当填写对中国的申请人。申请人是中国内地单位或者个人的，应当填写其名称或者姓名、地址、邮政编码、统一社会信用代码/组织机构代码或者居民身份证号码；申请人是外国人、外国企业或者外国其他组织的，应当填写其姓名或者名称、国籍或者注册国家或者地区。申请人类型可从下列类型中选择填写：个人、企业、事业单位、机关团体、大专院校、科研单位。申请人请求费用减缴且已完成费减资格备案的，应当在方格内作标记，并在本栏填写证件号码处填写费减备案时使用的证件号码。9. 本表第 16 栏，申请人是单位且未委托专利代理机构的，应当填写联系人，并同时填写联系人的通信地址、邮政编码、电子邮箱和电话号码，联系人只能填写一人，且应当是本单位的工作人员。申请人为个人且需由他人代收国家知识产权局所发信函的，也可以填写联系人。
 10. 本表第 17 栏，申请人指定非第一署名申请人为代表人时，应当在此栏指明被确定的代表人。
 11. 本表第 18 栏，申请人委托专利代理机构的，应当填写此栏。
 12. 本表第 19 栏，申请人应当根据是否请求提前处理在此栏进行选择。
 13. 本表第 20 栏，申请人要求提前公布的，应当填写此栏。若填写此栏，不需要再提交发明专利请求提前公布声明。
 14. 本表第 21 栏，申请人应当正确填写。
 15. 本表第 22 栏，申请人要求优先权的，应当填写此栏。
 16. 本表第 23 栏，国际申请涉及遗传资源的，应当填写此栏。
 17. 本表第 24 栏，国际申请在国际阶段有援引加入项目或部分的，应当填写此栏。若申请人在办理进入国家阶段手续时未在进入声明中予以指明并请求修改相对于中国的申请日，在后续程序中不能再通过请求修改相对于中国的申请日的方式保留援引加入项目或部分。
 18. 本表第 25 栏，国际申请涉及生物材料样品保藏的，应当填写此栏，并自进入日起 4 个月内提交生物材料样品的保藏证明和存活证明。
 19. 本表第 26 栏，申请人要求不丧失新颖性宽限期的，应当填写此栏，并自进入日起 2 个月内提交证明文件。
 20. 本表第 27 栏，申请人请求复查的，应当填写此栏。
 21. 本表第 28、29 栏，申请人应当按实际提交的文件名称、份数、页数及权利要求项数正确填写。
 22. 本表第 30 栏，委托专利代理机构的，应当由专利代理机构加盖公章。未委托专利代理机构的，申请人为个人的应当由本人签字或者盖章；申请人为单位的应当加盖单位公章；有多个申请人的由全体申请人签字或者盖章。
 23. 本表第 13、15、22、25 栏，发明人、申请人、要求优先权声明、生物材料样品保藏的内容本表填写不下时，应当使用规定格式的附页续写。

缴 费 须 知

1. 自优先权日起 30 个月内办理进入国家阶段手续的，应当缴纳申请费、公布印刷费、申请附加费，自优先权日起 30 到 32 个月内办理进入国家阶段手续的，还应当缴纳宽限费。
2. 要求优先权的，最迟应当自进入日起 2 个月内缴纳优先权要求费。
3. 权利要求项数超过 10 项的，从第 11 项起，每项增收附加费 150 元；说明书（包括附图、序列表）页数超过 30 页的，从第 31 页起，每页增收附加费 50 元，超过 300 页的，从第 301 页起，每页增收附加费 100 元。
4. 专利费用可以通过网上缴费、邮局或银行汇款缴纳，也可以到国家知识产权局面缴。
5. 网上缴费：电子申请注册用户可登陆 <http://cponline.sipo.gov.cn>，并按照相关要求使用网上缴费系统缴纳。
6. 邮局汇款：收款人姓名：国家知识产权局专利局收费处，商户客户号：110000860。
7. 银行汇款：开户银行：中信银行北京知春路支行，户名：中华人民共和国国家知识产权局专利局，账号：7111710182600166032。
8. 汇款时应当准确写明申请号、费用名称（或简称）及分项金额。未写明申请号和费用名称（或简称）的视为未办理缴费手续。了解更多详细信息及要求，请登陆 <http://www.sipo.gov.cn> 查询。
9. 对于只能采用电子联行汇付的，应当向银行付电报费，正确填写并要求银行至少将申请号及费用名称两项列入汇款单附言栏中同时发至国家知识产权局专利局。
10. 缴费和汇款时应当正确填写申请号，在办理进入国家阶段手续时可以使用国际申请号，使用国际申请号交费时还应注意注明申请类别。在获得国家申请号之后缴纳各种费用时都应当注明国家申请号。
费用通过邮局或者银行汇付遗漏必要缴费信息的，可以在汇款当天最迟不超过汇款次日补充缴费信息，补充缴费信息的方式如下：登陆专利缴费信息网上补充及管理系统（<http://fee.sipo.gov.cn>）进行缴费信息的补充；通过传真（010-62084312/8065）或发送电子邮件（shoufeichu@sipo.gov.cn）的方式补充缴费信息。补充完整缴费信息的，以补充完整缴费信息日为缴费日。因逾期补充缴费信息或补充信息不符合规定，造成汇款被退回或入暂存的，视为未缴纳费用。补充缴费信息的，应当提供邮局或者银行的汇款单复印件、所缴费用的申请号（或专利号）及各项费用的名称和金额。同时，应当提供接收收据的地址、邮政编码、接收人姓名或名称等信息。补充缴费信息如不能提供邮局或者银行的汇款单复印件的，还应当提供汇款日期、汇款人姓名或名称、汇款金额、汇款单据号码等信息。
11. 未按上述规定办理缴费手续的，所产生的法律后果由汇款人承担。

国际申请进入中国国家阶段声明（实用新型）

请按照“注意事项”正确填写本表各栏			此框内容由国家知识产权局填写	
⑤ 专利代理机构案卷号			① 国家申请号	
⑥ 国际申请号				
⑦ 国际申请日			② 递交日	
⑧ 优先权日				
⑨ 国际公布号			③ 费减审批	
⑩ 国际公布日				
⑪ 国际公布语言			④ 挂号号码	
⑫ 实用新型名称				
⑬ 发明人	发明人 1		<input type="checkbox"/> 不公布姓名	
	发明人 2		<input type="checkbox"/> 不公布姓名	
⑭ 第一发明人	国籍		居民身份证件号码	
⑮ 申请人	申请人 (1)	姓名或名称:	申请人类型	
		居民身份证件号码或统一社会信用代码/组织机构代码 <input type="checkbox"/> 请求费减且已完成费减资格备案	电子邮箱	
		国籍或注册国家(地区)	经营居所地或营业所所在地	
		省、自治区、直辖市		
		市县		
		详细地址		
		邮政编码	电话	
	申请人 (2)	姓名或名称:	用户代码	申请人类型
		居民身份证件号码或统一社会信用代码/组织机构代码 <input type="checkbox"/> 请求费减且已完成费减资格备案		
		国籍或注册国家(地区)	经营居所地或营业所所在地	
		省、自治区、直辖市		
		市县		
		详细地址		
		邮政编码	电话	
⑯ 联系人	姓名	电话		
	省、自治区、直辖市			
	市县			
	详细地址			
	邮政编码	电子邮箱		
⑰ 代表人	为非第一署名申请人时声明 特声明第 署名申请人为代表人			

国际申请进入中国国家阶段声明（实用新型）

⑱ 专 利 代 理 机 构	<input type="checkbox"/> 声明已经与申请人签订了专利代理委托书且本表中的信息与委托书中相应信息一致			
	名称		机构代码	
	代 理 人 (1)	姓 名	代 理 人 (2)	姓 名
		执业证号		执业证号
	电 话		电 话	
<p>⑲ 提前处理</p> <p><input checked="" type="checkbox"/> 自优先权日起 30 个月的期限尚未届满，请求国家知识产权局根据专利法实施细则第 111 条提前处理和审查本国际申请。</p> <p><input type="checkbox"/> 本国际申请尚未国际公布，请求国家知识产权局作为指定局要求国际局传送国际申请文件副本。 *自优先权日起 30 个月的期限尚未届满，申请人不要求提前处理本国际申请，请取消上述默认选项。</p>				
<p>⑳ 审查基础文本声明</p> <p><input type="checkbox"/> 以原始国际申请文件中的中文译文为审查基础</p> <p><input type="checkbox"/> 以下列申请文件为审查基础</p>				
<input type="checkbox"/> 说明书		第 页，按原始国际申请文件的中文译文		
		第 页，按专利性国际初步报告（PCT 第二章）附件的中文译文		
		第 页，按专利合作条约第 28/41 条提出的修改		
<input type="checkbox"/> 权利要求		第 项，按原始国际申请文件的中文译文		
		第 项，按专利合作条约第 19 条修改的中文译文		
		第 项，按专利性国际初步报告（PCT 第二章）附件的中文译文		
		第 项，按专利合作条约第 28/41 条提出的修改		
<input type="checkbox"/> 附图		第 页，按原始国际申请文件的中文译文		
		第 页，按专利性国际初步报告（PCT 第二章）附件的中文译文		
		第 页，按专利合作条约第 28/41 条提出的修改		
㉑ 要 求 优 先 权 声 明	序号	原受理机构名称	在先申请日	在先申请号
	1			
	2			
	3			
	4			
	5			
	6			
	7			
8				

国际申请进入中国国家阶段声明（实用新型）

<p>⑳ 关于援引加入的说明</p> <p><input type="checkbox"/> 本国际申请在国际阶段含有援引加入项目或部分，提交的中文译文中未包含援引加入项目或部分。</p> <p><input type="checkbox"/> 本国际申请在国际阶段含有援引加入项目或部分，提交的中文译文中包含下列援引加入项目或部分，请求修改相对于中国的申请日：</p> <p><input type="checkbox"/> 说明书 第 _____ 页，国际阶段提交援引加入的时间为 _____ ；</p> <p><input type="checkbox"/> 权利要求 第 _____ 项，国际阶段提交援引加入的时间为 _____ ；</p> <p><input type="checkbox"/> 附图 第 _____ 页，国际阶段提交援引加入的时间为 _____ 。</p>	
<p>㉑ 不丧失新颖性宽限期声明</p> <p><input type="checkbox"/> 已在中国政府主办或承认的国际展览会上首次展出，并在提出国际申请时作出过声明。</p> <p><input type="checkbox"/> 已在规定的学术会议或技术会议上首次发表，并在提出国际申请时作出过声明。</p>	
<p>㉒ 复查请求</p> <p><input type="checkbox"/> 申请人于 _____ 年 _____ 月 _____ 日收到下列通知：</p> <p><input type="checkbox"/> 受理局拒绝给予国际申请日 <input type="checkbox"/> 国际局按专利合作条约第 12 条（3）作出认定</p> <p><input type="checkbox"/> 受理局宣布申请被认为撤回</p> <p><input type="checkbox"/> 根据专利合作条约第 25 条特此向国家知识产权局提出复查请求，并且</p> <p><input type="checkbox"/> 已请求国际局将档案中有关文件传送国家知识产权局；</p> <p><input type="checkbox"/> 已依照专利法实施细则第 103 条的规定办理进入中国国家阶段的手续。</p>	
<p>㉓ 申请文件清单</p> <p>1、 进入声明 份 页</p> <p>2、 说明书摘要 份 页</p> <p>3、 摘要附图 份 页</p> <p>4、 权利要求书 份 页</p> <p>5、 说明书 份 页</p> <p>6、 说明书附图 份 页</p> <p>权利要求的项数 项</p>	<p>㉔ 附加文件清单</p> <p><input type="checkbox"/> 按专利合作条约第 19 条修改的中文译文 份 页</p> <p><input type="checkbox"/> 专利性国际初步报告（PCT 第二章）附件的中文译文 份 页</p> <p><input type="checkbox"/> 按专利合作条约第 28/41 条提出的修改 份 页</p> <p><input type="checkbox"/> 专利代理委托书 份 页</p> <p>总委托书（备案编号 _____）</p> <p><input type="checkbox"/> 申请权转让证明 份 页</p> <p><input type="checkbox"/> 申请权转让证明中文题录 份 页</p> <p><input type="checkbox"/> 优先权转让证明 份 页</p> <p><input type="checkbox"/> 优先权转让证明中文题录 份 页</p> <p><input type="checkbox"/> 著录项目变更申报书 份 页</p> <p><input type="checkbox"/> 经确认的国际申请副本 份 页</p> <p><input type="checkbox"/> 在先申请文件副本中文题录 份 页</p> <p><input type="checkbox"/> 已备案的证明文件 份 页</p> <p>（证明文件备案编号 _____）</p>
<p>㉕ 全体申请人或专利代理机构签字或者盖章</p> <p>_____</p> <p>_____ 年 _____ 月 _____ 日</p>	<p>㉖ 国家知识产权局审核意见</p> <p>_____</p> <p>_____ 年 _____ 月 _____ 日</p>

注 意 事 项

- 一、国际申请希望在中国获得专利保护的，应当在专利法实施细则第 103 条规定的期限内办理进入中国国家阶段手续。办理进入国家阶段手续的，应当符合专利法实施细则第 104 条的规定。
- 二、办理进入国家阶段手续时应当提交国际申请进入中国国家阶段声明及权利要求书、说明书、说明书摘要的中文译文，有说明书附图及摘要附图的应当提交说明书附图及摘要附图副本，并缴纳相关费用。（表格可在国家知识产权局网站 www.sipo.gov.cn 下载）
- 三、本表应当使用国家公布的中文简化汉字填写，表中文字应当打字或者印刷，字迹为黑色。
- 四、本表中方格供填表人选择使用，若有方格后所述内容的，应当在方格内作标记。
- 五、本表中所有详细地址栏，本国的地址应当包括省（自治区）、市（自治州）、区、街道门牌号码，或者省（自治区）、县（自治县）、镇（乡）、街道门牌号码，或者直辖市、区、街道门牌号码。有邮政信箱的，可以按规定使用邮政信箱。外国的地址应当注明国别、市（县、州）。其中申请人、专利代理机构、联系人的详细地址应当满足邮件能够迅速、准确投递的要求。
- 六、填表说明
 1. 本表第 ①、②、③、④、⑤ 栏，由国家知识产权局填写。
 2. 本表第 ⑥ 栏，申请人委托专利代理机构的，专利代理机构有案卷号的可填写此栏。
 3. 本表第 ⑦、⑧、⑨ 栏，申请人应当正确填写。
 4. 本表第 ⑩、⑪、⑫ 栏，国际申请已进行国际公布的，申请人应当正确填写相应内容。
 5. 本表第 ⑬ 栏，实用新型名称应当准确、简短。
 6. 本表第 ⑭ 栏，发明人应当是个人，此栏应当填写对中国的发明人。发明人可以请求国家知识产权局不公布其姓名。
 7. 本表第 ⑮ 栏，应当填写第一发明人国籍，第一发明人为中国内地居民的，应当同时填写居民身份证号码。
 8. 本表第 ⑯ 栏，应当填写对中国的申请人。申请人是中国内地单位或者个人的，应当填写其名称或者姓名、地址、邮政编码、统一社会信用代码/组织机构代码或者居民身份证号码；申请人是外国人、外国企业或者外国其他组织的，应当填写其姓名或者名称、国籍或者注册国家或者地区。申请人类型可从下列类型中选择填写：个人、企业、事业单位、机关团体、大专院校、科研单位。申请人请求费用减缴且已完成费减资格备案的，应当在方格内作标记，并在本栏填写证件号码处填写费减备案时使用的证件号码。
 9. 本表第 ⑰ 栏，申请人是单位且未委托专利代理机构的，应当填写联系人，并同时填写联系人的通信地址、邮政编码、电子邮箱和电话号码，联系人只能填写一人，且应当是本单位的工作人员。申请人为个人且需由他人代收国家知识产权局所发信函的，也可以填写联系人。
 10. 本表第 ⑱ 栏，申请人指定非第一署名申请人为代表人时，应当在此栏指明被确定的代表人。
 11. 本表第 ⑲ 栏，申请人委托专利代理机构的，应当填写此栏。
 12. 本表第 ⑳ 栏，申请人应当根据是否请求提前处理在此栏进行选择。

注 意 事 项

13. 本表第 ⑳ 栏, 申请人应当正确填写。
14. 本表第 ㉑ 栏, 申请人要求优先权的, 应当填写此栏。
15. 本表第 ㉒ 栏, 国际申请在国际阶段有援引加入项目或部分的, 应当填写此栏。若申请人在办理进入国家阶段手续时未在进入声明中予以指明并请求修改相对于中国的申请日, 在后续程序中不能再通过请求修改相对于中国的申请日的方式保留援引加入项目或部分。
16. 本表第 ㉓ 栏, 申请人要求不丧失新颖性宽限期的, 应当填写此栏, 并自进入日起 2 个月内提交证明文件。
17. 本表第 ㉔ 栏, 申请人请求复查的, 应当填写此栏。
18. 本表第 ㉕、㉖ 栏, 申请人应当按实际提交的文件名称、份数、页数及权利要求项数正确填写。
19. 本表第 ㉗ 栏, 委托专利代理机构的, 应当由专利代理机构加盖公章。未委托专利代理机构的, 申请人为个人的应当由本人签字或者盖章; 申请人为单位的应当加盖单位公章; 有多个申请人的由全体申请人签字或者盖章。
20. 本表第 ㉘、㉙、㉚ 栏, 发明人、申请人、要求优先权声明的内容本表填写不下时, 应当使用规定格式的附页续写。

缴 费 须 知

1. 自优先权日起 30 个月内办理进入国家阶段手续的，应当缴纳申请费、申请附加费，自优先权日起 30 到 32 个月内办理进入国家阶段手续的，还应当缴纳宽限费。
2. 要求优先权的，最迟应当自进入日起 2 个月内缴纳优先权要求费。
3. 权利要求项数超过 10 项的，从第 11 项起，每项增收附加费 150 元；说明书（包括附图）页数超过 30 页的，从第 31 页起，每页增收附加费 50 元，超过 300 页的，从第 301 页起，每页增收附加费 100 元。
4. 专利费用可以通过网上缴费、邮局或银行汇款缴纳，也可以到国家知识产权局面缴。
5. 网上缴费：电子申请注册用户可登陆 <http://cponline.sipo.gov.cn>，并按照相关要求使用网上缴费系统缴纳。
6. 邮局汇款：收款人姓名：国家知识产权局专利局收费处，商户客户号：110000860。
7. 银行汇款：开户银行：中信银行北京知春路支行，户名：中华人民共和国国家知识产权局专利局，账号：7111710182600166032。
8. 汇款时应当准确写明申请号、费用名称（或简称）及分项金额。未写明申请号和费用名称（或简称）的视为未办理缴费手续。了解更多详细信息及要求，请登陆 <http://www.sipo.gov.cn> 查询。
9. 对于只能采用电子联行汇付的，应当向银行付电报费，正确填写并要求银行至少将申请号及费用名称两项列入汇款单附言栏中同时发至国家知识产权局专利局。
10. 缴费和汇款时应当正确填写申请号，在办理进入国家阶段手续时可以使用国际申请号，使用国际申请号交费时还应注意注明申请类别。在获得国家申请号之后缴纳各种费用时都应当注明国家申请号。
费用通过邮局或者银行汇付遗漏必要缴费信息的，可以在汇款当天最迟不超过汇款次日补充缴费信息，补充缴费信息的方式如下：登陆专利缴费信息网上补充及管理系统（<http://fee.sipo.gov.cn>）进行缴费信息的补充；通过传真（010-62084312/8065）或发送电子邮件（shoufeichu@sipo.gov.cn）的方式补充缴费信息。补充完整缴费信息的，以补充完整缴费信息日为缴费日。因逾期补充缴费信息或补充信息不符合规定，造成汇款被退回或入暂存的，视为未缴纳费用。补充缴费信息的，应当提供邮局或者银行的汇款单复印件、所缴费用的申请号（或专利号）及各项费用的名称和金额。同时，应当提供接收收据的地址、邮政编码、接收人姓名或名称等信息。补充缴费信息如不能提供邮局或者银行的汇款单复印件的，还应当提供汇款日期、汇款人姓名或名称、汇款金额、汇款单据号码等信息。
11. 未按上述规定办理缴费手续的，所产生的法律后果由汇款人承担。

实 质 审 查 请 求 书

请按照“注意事项”正确填写本表各栏		本框由国家知识产权局填写
① 专 利 申 请	申请号 发明创造名称 申请人	递交日 申请号条码 挂号条码
②请求内容： 根据专利法第 35 条的规定，请求对上述专利申请进行实质审查。 <input type="checkbox"/> 申请人声明，放弃专利法实施细则第 51 条规定的主动修改的权利。		
③附件清单 <input type="checkbox"/> 申请文件替换文件_____ <input type="checkbox"/> 申请日前与本发明有关的参考资料 <input type="checkbox"/> 外国对该申请检索到的资料 <input type="checkbox"/> 外国对该申请审查结果的资料 <input type="checkbox"/>		
④备注 <input type="checkbox"/> 该申请为 PCT 国际申请，实质审查费不予减免 <input type="checkbox"/> 该申请为 PCT 国际申请，已由欧洲专利局、日本专利局、瑞典专利局作出国际检索报告，实质审查费减免 20% <input type="checkbox"/> 该申请为 PCT 国际申请，已由中国作出国际检索报告及专利性国际初步报告，实质审查费减免 100% <input type="checkbox"/>		
⑤申请人或专利代理机构签字或者盖章 年 月 日		⑥国家知识产权局处理意见 年 月 日

注 意 事 项

- 一、本表应当使用中文填写，字迹为黑色，文字应当打字或印刷，提交一式一份。
- 二、本表第①栏所填内容应当与该专利申请请求书中内容一致。其中，申请人应为第一署名申请人。如果该专利申请办理过著录项目变更手续的，应当按照国家知识产权局批准变更后的内容填写。
- 三、本表第③栏中的方格供填表人选择使用，若有方格后所述情况的，应在方格内作标记。

1. 申请文件修改替换的格式要求：

对权利要求修改的应当提交相应的权利要求替换项，涉及权利要求引用关系时，则需要将相应权项一起替换。如果申请人需要删除部分权项，申请人应该提交整理后连续编号的部分权利要求书。

对说明书修改的应当提交相应的说明书替换段，不得增加和删除段号，仅能对有修改部分段进行整段替换。如果要增加内容，则只能增加在某一段中；如果需要删除一个整段内容，应该保留该段号，并在此段号后注明：“此段删除”字样。段号以国家知识产权局回传的或公布/授权公告的说明书段号为准。

对说明书附图修改的应当以图为单位提交相应的替换附图。

对说明书摘要、摘要附图修改的应当提交相应的说明书摘要、摘要附图替换页。

同时，申请人应当在实质审查请求书中写明修改涉及的权项、段号、图、页。

2. 对于申请日前与本发明有关的参考资料应是能说明发明背景技术的专利文献、期刊、杂志和其他文献资料。专利文献应注明国别、分类号、申请日、申请公布日、申请号或专利号；非专利文献资料应注明国别、名称、引用的文章标题、作者、出版者、出版日期、卷、页数。

四、本表第④栏，进入中国国家阶段的国际申请可填写此栏。

五、本表第⑤栏，委托专利代理机构的，应当由专利代理机构加盖公章。未委托专利代理机构的，申请人为个人应当由本人签字或者盖章；申请人是单位的，应当加盖单位公章；有多个申请人的由代表人签字或者盖章。

六、申请人应当在专利法实施细则规定的期限内缴纳实质审查费。进入中国国家阶段的国际申请，若由欧洲专利局，日本专利局，瑞典专利局作出国际检索报告或宣布不作出检索，实质审查费减免 20%；若由中国作出国际检索报告或宣布不作出检索，并由中国作出专利性国际初步报告，实质审查费全免。

七、专利费用可以通过网上缴费、邮局或银行汇款缴纳，也可以到国家知识产权局面缴。

网上缴费：电子申请注册用户可登陆 <http://www.cponline.gov.cn>，并按照相关要求使用网上缴费系统缴纳。

邮局汇款：收款人姓名：国家知识产权局专利局收费处，商户客户号：110000860。

银行汇款：开户银行：中信银行北京知春路支行，户名：中华人民共和国国家知识产权局专利局，账号：7111710182600166032。

汇款时应当准确写明申请号、费用名称（或简称）及分项金额。未写明申请号和费用名称（或简称）的视为未办理缴费手续。了解更多详细信息及要求，请登陆 <http://www.sipo.gov.cn> 查询。

FEES**(Currency: Hong Kong dollar)**

Patents Form No.	Description	Amount
P1	On reference to the Registrar the question as to who may apply for grant of a standard patent or a licence or whether the period or terms of a licence are reasonable	190
P1A	On application for authorization by the Registrar to carry out directions under Section 13(3)(c) or 13(4) of the Patents Ordinance on behalf of the person to whom the directions were given	190
P2	On filing of notice of opposition or counterstatement	325
P4	On filing request to record a designated patent application	380
	Advertisement fee for request to record	68
	Additional fee for late payment of filing fee or advertisement fee for request to record	95
P5	On filing request for registration of a designated patent and grant of a standard patent	380
	Advertisement fee for request for registration and grant	68
	Additional fee for late payment of filing fee or advertisement fee for request for registration and grant	95
P6	On filing application for grant of a short-term patent	755
	Advertisement fee for application for grant of a short-term patent	68
	Additional fee for late payment of filing fee or advertisement fee for application for grant of a short-term patent	95
P7	On request for correction of an error under Section 51(2)(b)(ii) or 146 of the Patents Ordinance	135
	Request for publication of a corrected translation	190
P9	Maintaining application for standard patent:	
	— application for maintenance for a further year after the expiry of the 5 th year	270
	— application for maintenance for any succeeding year thereafter	270
	Additional fee for late payment of maintenance fee	95
P10	Renewal of a standard patent:	
	— request for renewal for a further year after the expiry of the 3 rd year	540
	— request for renewal for any succeeding year thereafter	540
	Additional fee for late payment of a renewal fee for a standard patent	270
	Renewal of a short-term patent	1,080
	Additional fee for late payment of a renewal fee for a short-term patent	270
P12	Restoring an application for a standard patent which was deemed withdrawn due to non-payment of maintenance fee	405
	Restoring a lapsed standard patent	405
	Restoring a lapsed short-term patent	405

CN(HK)

Patents Form No.	Description	Amount
P13	Additional fee for reinstatement of a deemed withdrawn patent application	405
	Additional fee for restoration of rights	405
P14	Mention of inventor	135
SP3	Extension fee	215
	Penalty fee for late filing of translation	190



P4-1

由本署填寫

專利表格第 P4 號

標準專利 - 請求記錄指定專利申請

《專利條例》(第 514 章)

《專利(一般)規則》(第 514C 章)

重要須知

1. 一般須知：

- a. 中文或英文均可使用為法律程序所採用的語文。除非另有說明，請以中文填寫本表格。
- b. 本表格必須簽署，並註明簽署日期。
- c. 填寫本表格任何部分時，如空位不足，請以附頁書寫。每張附頁須加上頁碼，以及在 11(1) 部分註明附頁的頁數。
- d. 查詢方法如下：
 - 電郵：enquiry@ipd.gov.hk
 - 網址：www.ipd.gov.hk

2. 個人資料的使用：

- a. 提供個人資料與否純屬自願，但如果提供的資料不足，專利註冊處可能無法處理你的申請、提交的要求或通知。
- b. 專利註冊處會將本表格及就本表格提交的任何文件所提供的任何個人資料，用作處理你的申請、要求或通知，以及用於 www.ipd.gov.hk/chi/home.htm 所載的收集目的。請注意，依據《專利條例》(第 514 章)第 147 條，所提供的資料全部或部分可能會提供予公眾查閱。該等資料可透過互聯網查閱。
- c. 專利註冊處在發放可供公眾查閱的資料前，可編輯由你主動提供載於本表格，或就本表格而提交的任何文件上無明確規定須提交的個人資料。請勿提供任何無明確規定須提交的個人資料(包括與第三者有關的個人資料)。
- d. 在符合《專利條例》(第 514 章)的規定下，依據《個人資料(私隱)條例》(第 486 章)第 18 和 22 條，任何人士有權查閱和改正專利註冊處備存的專利紀錄內所載其個人資料。
- e. 詳情請參閱載於 www.ipd.gov.hk/chi/home.htm 的收集個人資料聲明。

3. 其他資料的使用：

- a. 專利註冊處會將本表格及就本表格提交的任何文件所提供有關商業企業或實體的任何資料，用作處理你的申請、要求或通知，以及用於 www.ipd.gov.hk/chi/home.htm 所載的收集目的。依據《專利條例》(第 514 章)第 147 條，這些資料全部或部分可能會提供予公眾查閱。該等資料可透過互聯網查閱。
- b. 請勿提交你認為屬機密或商業敏感的自身或第三者商業資料。如本表格或就本表格提交的任何文件載有該等資料，註冊處處長將視你和有關的第三者明示及自願同意披露所有該等資料供公眾查閱。

4. 遞交申請／請求／通知：

請連同適當的費用，親自或以郵寄方式送交中國香港灣仔皇后大道東 213 號胡忠大廈 24 樓專利註冊處處長。費用一覽表可於：www.ipd.gov.hk/chi/forms_fees.htm 瀏覽。有關款項可親自以現金或郵寄支票繳交，支票須註明抬頭人為「香港特別行政區政府」。

01 來檔編號	
02 申請人資料(如申請人的姓名／名稱並非採用羅馬字母或中文字，請同時以羅馬字母提供姓名／名稱的音譯。)	
中文姓名／名稱	
英文姓名／名稱(如適用)	
地址	
03 申請人是否指定專利申請的申請人？ 如答「否」，則必須遞交一項解釋申請人有權就有關發明申請批予標準專利的陳述以及支持該項陳述的訂明文件。 (《專利條例》第15(2)(d)條及《專利(一般)規則》第9條，詳情請瀏覽www.ipd.gov.hk)	請在適當空格內加上記號 <input type="checkbox"/> 是 <input type="checkbox"/> 否 陳述
註1 發明的名稱必須同時以英文及中文填寫。	
04 發明的名稱(註1) 英文 中文	
05 指定專利申請的資料 (1) 申請編號 (2) 提交日期 (日日／月月／年年年年) (3) 發表編號(如有) (4) 發表日期(如有) (日日／月月／年年年年)	

<p>06 如申請是以國際申請為基礎，請填寫國際申請的資料</p> <p>(1) 國際申請編號</p> <p>(2) 國際申請提交日期 (日日/月月/年年年年)</p> <p>(3) 國際申請發表編號</p> <p>(4) 國際申請發表日期 (日日/月月/年年年年)</p> <p>(5) 指定專利當局表示該項申請已有效地進入國家階段的發表日期 (如與以上05(4)部分的發表日期不同，則須填寫此部分)</p> <p>或 中華人民共和國國家知識產權局的國家申請號通知書的發文日 (《專利條例》第16條及《專利(一般)規則》第15條，詳情請瀏覽www.ipd.gov.hk)</p>	
	<p>如適用，請在適當空格內加上記號，並填上有關日期</p> <p><input type="checkbox"/> _____ (日日/月月/年年年年)</p> <p><input type="checkbox"/> _____ (日日/月月/年年年年)</p>

註2 就較早時申請的日期作出聲稱 –

(1) 如屬分開申請，可根據《專利條例》第22條；

(2) 經在批予後對專利的權利的裁定而按法院命令新提交的申請，則可根據《專利條例》第55條作出聲稱。

《專利條例》可於www.ipd.gov.hk 瀏覽。

<p>07 較早時的申請</p> <p>如此申請屬分開申請或源自較早時在香港特別行政區提出的申請，填寫較早時的申請的資料(註2)</p> <p>(1) 聲稱享有較早時的申請所根據的條文</p> <p>(2) 較早時的申請的編號</p> <p>(3) 較早時的申請的提交日期 (日日/月月/年年年年)</p> <p>(4) 較早時的申請的記錄請求的發表日期 (日日/月月/年年年年)</p>	<p>請在適當空格內加上記號</p> <p>《專利條例》</p> <p><input type="checkbox"/> 第22條 <input type="checkbox"/> 第55條</p>

08 優先權 (請勿提交有關優先權的文件) 如根據《專利條例》第98條聲稱具有優先權，請按照《專利條例》第15(2)(e)條的規定，提供一項陳述及有關細節。《專利條例》可於 www.ipd.gov.hk 瀏覽。		
陳述		
國家／地區／地方	優先權申請編號	優先權申請提交日期 (日日／月月／年年年)

09 發明人的姓名／名稱 (如發明人的姓名／名稱並非採用羅馬字母或中文字，請同時以羅馬字母提供姓名／名稱的音譯。) 如指定專利申請並無載有任何作為發明人的姓名／名稱，請提供一項識別申請人所相信是發明人的人或人等的陳述。 (《專利條例》第15(2)(b)條，詳情請瀏覽 www.ipd.gov.hk)	
陳述	
中文姓名／名稱	英文姓名／名稱 (如適用)

註3 請參閱《專利條例》第95條關於不具損害性披露的權利要求。《專利條例》可於 www.ipd.gov.hk 瀏覽。

10 不具損害性的披露 如提出不具損害性的披露的權利要求，請按照《專利條例》第15(2)(f)條和《專利(一般)規則》第10條的規定，提供一項陳述及有關的訂明細節。《專利條例》及《專利(一般)規則》可於 www.ipd.gov.hk 瀏覽。(註3)	陳述		
	展覽或會議的 名稱及地點	展覽或會議開始日期 (日日／月月／ 年年年)	首次披露日期 (日日／月月／ 年年年)

11 如提交本表格時附連下列文件，請註明文件的頁數 (1) 隨本表格夾附的附頁 (2) 已發表的指定專利申請的副本 (3) 有關國際申請的副本 — <ul style="list-style-type: none"> • 由國際局所發表的國際申請 • 由指定專利當局所發表的國際申請的譯本 • 由指定專利當局所發表關於國際申請的資料 (4) 撮錄的譯本 (5) 解釋申請人有權就有關發明申請批予標準專利的陳述以及支持該項陳述的訂明文件 (6) 其他(請註明)	頁 數

註4 你必須提供在中國香港的送達地址。

12 在中國香港的送達地址(註4) 姓名／名稱 地址 電話號碼 傳真號碼 電郵地址	

註5 如你是代理人，你必須提供在中國香港的居住地址或進行業務活動的地址。

13 代理人地址(註5)	
---------------------	--

14 簽署

我／我們確認已閱讀及明白第一頁“重要須知”的內容。

簽署

簽署人姓名及職銜

日期(日日／月月／年年年)

P4-1

For Official Use



Patents Form P4

Request to record a designated patent application for a standard patent

Patents Ordinance (Cap. 514)
Patents (General) Rules (Cap. 514C)

Important notes

1. General notes:

- a. Either Chinese or English can be used as the language of proceedings. Please complete this form in English unless otherwise stated.
- b. This form must be signed and dated.
- c. If there is not enough space for any part on this form, please continue on an additional sheet. Number each additional sheet and state the number of additional sheet(s) in Part 11(a).
- d. Please enquire through the following means:
 - E-mail: enquiry@ipd.gov.hk
 - Internet homepage address: www.ipd.gov.hk

2. Use of Personal data:

- a. The provision of your personal data is voluntary. However, the Patents Registry may be unable to handle your application, filing request or notice if you do not provide sufficient information.
- b. The Patents Registry will use any personal data provided in this form and any document(s) filed in relation to it for processing your application, request or notice, as well as for the purposes of collection set out at www.ipd.gov.hk/eng/home.htm. **Any of the information provided may be made available for public inspection, in full or in part, pursuant to section 147 of the Patents Ordinance, Cap. 514. Such information may be accessed through the Internet.**
- c. The Patents Registry may redact unsolicited personal data included with this form or any document(s) filed in relation to it before making them available for public inspection. Please **DO NOT provide any personal data (including personal data relating to third parties) which are not specifically required to be submitted.**
- d. Subject to the requirements of the Patents Ordinance, Cap. 514, any person has the right to request access to and correct his/her personal data held in the patent records maintained by the Patents Registry, pursuant to sections 18 and 22 of the Personal Data (Privacy) Ordinance, Cap. 486.
- e. For further details, please see the Personal Information Collection Statement at www.ipd.gov.hk/eng/home.htm.

3. Use of Other Information:

- a. The Patents Registry will use any information relating to any commercial enterprise or entity provided in this form and any document(s) filed in relation to it for processing your application, request or notice, and for the purposes of collection set out at www.ipd.gov.hk/eng/home.htm. **They may be made available for public inspection, in full or in part, pursuant to section 147 of the Patents Ordinance, Cap. 514. Such information may be accessed through the Internet.**
- b. **DO NOT include any business information of your own or that of third parties which you consider to be confidential or commercially sensitive.** Where such information is included in this form or any document(s) filed in relation to it, the Registrar will treat it an express and voluntary consent given by you and any such third party to disclosure of all such information for the purpose of public inspection.

4. Submission of application/request/notice:

In person or by mail with the appropriate fee to the Registrar of Patents, 24/F, Wu Chung House, No. 213 Queen's Road East, Wanchai, Hong Kong, China. The fee schedule can be viewed at www.ipd.gov.hk/eng/forms_fees.htm. Payment can be made in person by cash, or by sending a cheque made payable to THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION.

01 Your reference	
--------------------------	--

02 Details of applicant <i>(If the name of the applicant is not in Roman letters or in Chinese, please include a transliteration in Roman letters.)</i>
--

Name

--

Name in Chinese <i>(if applicable)</i>
--

--

Address

--

<p>03 Is the applicant the person named as the applicant in the designated patent application?</p>	<p><i>Please mark the appropriate box</i></p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p>
<p>If you have marked “No”, you need to submit a statement explaining the applicant's entitlement to apply for the grant of a standard patent and the prescribed documents supporting the statement. <i>(section 15(2)(d), Patents Ordinance and section 9, Patents (General) Rules, which can be viewed at www.ipd.gov.hk)</i></p>	<p>Statement</p>

Note 1 You must state the title of the invention in both English and Chinese.
--

<p>04 Title of invention <i>(Note 1)</i></p> <p>English</p>	
<p>Chinese</p>	

<p>05 Details of designated patent application</p> <p>(a) Application no.</p> <p>(b) Filing date (DD-MM-YYYY)</p> <p>(c) Publication no. (if any)</p> <p>(d) Publication date (if any) (DD-MM-YYYY)</p>	
<p>06 Details of international application If the designated patent application is based on</p> <p>(a) International application no.</p> <p>(b) International filing date (DD-MM-YYYY)</p> <p>(c) International publication no.</p> <p>(d) International publication date (DD-MM-YYYY)</p> <p>(e) Date of publication in the designated patent office indicating that the international application has validly entered the national phase (if different from the date of publication in Part 05(d) above)</p> <p>or</p> <p>Date of issuance of the National Application Notification by the State Intellectual Property Office, People's Republic of China</p> <p>(section 16, Patents Ordinance and section 15, Patents (General) Rules, which can be viewed at www.ipd.gov.hk)</p>	 <p>Please mark the appropriate box and enter the date in the space provided if applicable</p> <p><input type="checkbox"/> _____ (DD-MM-YYYY)</p> <p>or</p> <p><input type="checkbox"/> _____ (DD-MM-YYYY)</p>

Note 2 A claim of earlier application date may be made under:
 (a) section 22, Patents Ordinance for divisional application;
 (b) section 55, Patents Ordinance for new application filed on court order upon determination of right to patent after grant.
 The Patents Ordinance can be viewed at www.ipd.gov.hk.

<p>07 Details of earlier application If the application is divided or derived from an earlier Hong Kong SAR application (<i>Note 2</i>)</p> <p>(a) Section under which an earlier application is claimed</p> <p>(b) Earlier application no.</p> <p>(c) Earlier application filing date (DD-MM-YYYY)</p> <p>(d) Publication date of the request to record of the earlier application (DD-MM-YYYY)</p>	<p><i>Please mark the appropriate box</i></p> <p style="text-align: center;">Patents Ordinance</p> <p style="text-align: center;"><input type="checkbox"/> Section 22 <input type="checkbox"/> Section 55</p>

08 Priority (please do not submit the priority document)
 Where a **claim of priority** under section 98, Patents Ordinance is made, please provide a **statement and details** in accordance with section 15(2)(e), Patents Ordinance which can be viewed at www.ipd.gov.hk.

Statement		
Country/Territory/Area	Priority application no.	Priority application filing date (DD-MM-YYYY)

09 Name of inventor (If the name of the inventor is not in Roman letters or in Chinese, please include a transliteration in Roman letters.)
 If the designated patent application does not contain the inventor's name, please provide a statement identifying the person(s) the applicant believes to be the inventor(s).
 (section 15(2)(b), Patents Ordinance, which can be viewed at www.ipd.gov.hk)

Statement	
Name	Name in Chinese (if applicable)

Note 3 Please refer to section 95, Patents Ordinance for claim of non-prejudicial disclosure. The Patents Ordinance can be viewed at www.ipd.gov.hk.

10 Non-prejudicial disclosure Where a claim of non-prejudicial disclosure is made, please provide a statement and prescribed details in accordance with section 15(2)(f), Patents Ordinance and section 10, Patents (General) Rules respectively which can be viewed at www.ipd.gov.hk . (<i>Note 3</i>)	Statement		
	Name and place of the exhibition or meeting	Opening date of the exhibition or meeting (DD-MM-YYYY)	Date of first disclosure (DD-MM-YYYY)

11 Enter the no. of sheet(s) for any of the following documents you are filing with this form (a) Additional sheet(s) attached to this form (b) Copy of the designated patent application as published (c) In the case of an international application, copy of : (i) the international application as published by the International Bureau (ii) translation of the international application published by the designated patent office (iii) publication of information in the designated patent office concerning the international application (d) Translation of abstract (e) Statement explaining the applicant's entitlement to apply and the prescribed documents supporting the statement (f) Others (<i>please specify</i>)	No. of sheet(s)	

Note 4 An address for service in Hong Kong, China must be supplied.

12 Address for service in Hong Kong, China (Note 4) Name Address Telephone no. Facsimile no. E-mail address	

Note 5 If you are an agent, an address in Hong Kong, China where you reside or carry on your business activities must be supplied.

13 Agent's address (Note 5)	
------------------------------------	--

14 Signature I/We confirm that I/we have read and understood the "Important notes" on page 1. <table style="width: 100%; border: none;"> <tr> <td style="width: 33%; border: none;"> _____ Signature </td> <td style="width: 33%; border: none;"> _____ Name and Official Capacity of Signatory </td> <td style="width: 33%; border: none;"> _____ Date (DD-MM-YYYY) </td> </tr> </table>	_____ Signature	_____ Name and Official Capacity of Signatory	_____ Date (DD-MM-YYYY)
_____ Signature	_____ Name and Official Capacity of Signatory	_____ Date (DD-MM-YYYY)	



專利表格第 P5 號

請求註冊指定專利與批予標準專利

《專利條例》(第 514 章)

《專利(一般)規則》(第 514C 章)

《專利(過渡性安排)規則》(第 514B 章)

重要須知

1. 一般須知：

- a. 除非另有說明，請以中文填寫本表格。
- b. 本表格必須簽署，並註明簽署日期。
- c. 填寫本表格任何部分時，如空位不足，請以附頁書寫。每張附頁須加上頁碼，以及在 07(1) 部分註明附頁的頁數。
- d. 查詢方法如下：
 - 電郵：enquiry@ipd.gov.hk
 - 網址：www.ipd.gov.hk

2. 個人資料的使用：

- a. 提供個人資料與否純屬自願，但如果提供的資料不足，專利註冊處可能無法處理你的申請、提交的要求或通知。
- b. 專利註冊處會將本表格及就本表格提交的任何文件所提供的任何個人資料，用作處理你的申請、要求或通知，以及用於 www.ipd.gov.hk/chi/home.htm 所載的收集目的。請注意，依據《專利條例》(第 514 章)第 147 條，所提供的資料全部或部分可能會提供予公眾查閱。該等資料可透過互聯網查閱。
- c. 專利註冊處在發放可供公眾查閱的資料前，可編輯由你主動提供載於本表格，或就本表格而提交的任何文件上無明確規定須提交的個人資料。請勿提供任何無明確規定須提交的個人資料(包括與第三者有關的個人資料)。
- d. 在符合《專利條例》(第 514 章)的規定下，依據《個人資料(私隱)條例》(第 486 章)第 18 和 22 條，任何人士有權查閱和改正專利註冊處備存的專利紀錄內所載其個人資料。
- e. 詳情請參閱載於 www.ipd.gov.hk/chi/home.htm 的收集個人資料聲明。

3. 其他資料的使用：

- a. 專利註冊處會將本表格及就本表格提交的任何文件所提供有關商業企業或實體的任何資料，用作處理你的申請、要求或通知，以及用於 www.ipd.gov.hk/chi/home.htm 所載的收集目的。依據《專利條例》(第 514 章)第 147 條，這些資料全部或部分可能會提供予公眾查閱。該等資料可透過互聯網查閱。
- b. 請勿提交你認為屬機密或商業敏感的自身或第三者商業資料。如本表格或就本表格提交的任何文件載有該等資料，註冊處處長將視你和有關的第三者明示及自願同意披露所有該等資料供公眾查閱。

4. 遞交申請／請求／通知：

請連同適當的費用，親自或以郵寄方式送交中國香港灣仔皇后大道東 213 號胡忠大廈 24 樓專利註冊處處長。費用一覽表可於：www.ipd.gov.hk/chi/forms_fees.htm 瀏覽。有關款項可親自以現金或郵寄支票繳交，支票須註明抬頭人為「香港特別行政區政府」。

01 來檔編號	
02 相應已發表的記錄請求的資料 申請編號 發表編號 發表日期 (日日/月月/年年年年)	
03 申請人資料(如申請人的姓名/名稱並非採用羅馬字母或中文字, 請同時以羅馬字母提供姓名/名稱的音譯。)	
中文姓名/名稱	
英文姓名/名稱(如適用)	
地址	
04 申請人是否在註冊紀錄冊中的香港特別行政區標準專利申請人? 如答「否」, 則必須遞交一項解釋申請人有權就有關發明申請批予標準專利的 陳述 以及支持該項陳述的訂明文件。 (《專利條例》第23(3)(b)條及《專利(一般)規則》第20條, 詳情請瀏覽 www.ipd.gov.hk)	請在適當空格內加上記號 <input type="checkbox"/> 是 <input type="checkbox"/> 否 陳述
註1 發明的名稱必須同時以英文及中文填寫。	
05 發明的名稱(註1) 英文 中文	

06 指定專利的資料 (1) 發表編號 (2) 批予日期 (日日/月月/年年年年) (3) 發表日期 (如與批予日期不同,則須填寫此部分) (日日/月月/年年年年)	

07 如提交本表格時附連下列文件,請註明文件的頁數 (1) 隨本表格夾附的附頁 (2) 已發表的相應指定專利說明書的副本 (3) 解釋申請人有權就有關發明申請批予標準專利的陳述以及支持該項陳述的訂明文件 (4) 其他(請註明)	頁 數

08 如已發表的相應指定專利說明書的副本是與本表格一併提交,請在空格內加上記號確認	如適用,請在適當空格內加上記號 <input type="checkbox"/> 我/我們確認夾附的說明書副本是有關指定專利當局所發出或備存文件的真確副本。
--	---

註2 你必須提供在中國香港的送達地址。

09 在中國香港的送達地址(註2) 姓名/名稱 地址 電話號碼 傳真號碼 電郵地址	

註3 如你是代理人,你必須提供在中國香港的居住地址或進行業務活動的地址。

10 代理人地址(註3)	
---------------------	--

11 簽署

我／我們確認已閱讀及明白第一頁“重要須知”的內容。

簽署

簽署人姓名及職銜

日期(日日／月月／年年年年)



Patents Form P5

Request for registration of a designated patent and grant of a standard patent

Patents Ordinance (Cap. 514)

Patents (General) Rules (Cap. 514C)

Patents (Transitional Arrangements) Rules (Cap. 514B)

Important notes

1. General notes:

- a. Please complete this form in English unless otherwise stated.
- b. This form must be signed and dated.
- c. If there is not enough space for any part on this form, please continue on an additional sheet. Number each additional sheet and state the number of additional sheet(s) in Part 07(a).
- d. Please enquire through the following means:
 - E-mail: enquiry@ipd.gov.hk
 - Internet homepage address: www.ipd.gov.hk

2. Use of Personal data:

- a. The provision of your personal data is voluntary. However, the Patents Registry may be unable to handle your application, filing request or notice if you do not provide sufficient information.
- b. The Patents Registry will use any personal data provided in this form and any document(s) filed in relation to it for processing your application, request or notice, as well as for the purposes of collection set out at www.ipd.gov.hk/eng/home.htm. **Any of the information provided may be made available for public inspection, in full or in part, pursuant to section 147 of the Patents Ordinance, Cap. 514. Such information may be accessed through the Internet.**
- c. The Patents Registry may redact unsolicited personal data included with this form or any document(s) filed in relation to it before making them available for public inspection. Please **DO NOT provide any personal data (including personal data relating to third parties) which are not specifically required to be submitted.**
- d. Subject to the requirements of the Patents Ordinance, Cap. 514, any person has the right to request access to and correct his/her personal data held in the patent records maintained by the Patents Registry, pursuant to sections 18 and 22 of the Personal Data (Privacy) Ordinance, Cap. 486.
- e. For further details, please see the Personal Information Collection Statement at www.ipd.gov.hk/eng/home.htm.

3. Use of Other Information:

- a. The Patents Registry will use any information relating to any commercial enterprise or entity provided in this form and any document(s) filed in relation to it for processing your application, request or notice, and for the purposes of collection set out at www.ipd.gov.hk/eng/home.htm. **They may be made available for public inspection, in full or in part, pursuant to section 147 of the Patents Ordinance, Cap. 514. Such information may be accessed through the Internet.**
- b. **DO NOT include any business information of your own or that of third parties which you consider to be confidential or commercially sensitive.** Where such information is included in this form or any document(s) filed in relation to it, the Registrar will treat it an express and voluntary consent given by you and any such third party to disclosure of all such information for the purpose of public inspection.

4. Submission of application/request/notice:

In person or by mail with the appropriate fee to the Registrar of Patents, 24/F, Wu Chung House, No. 213 Queen's Road East, Wanchai, Hong Kong, China. The fee schedule can be viewed at www.ipd.gov.hk/eng/forms_fees.htm. Payment can be made in person by cash, or by sending a cheque made payable to THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION.

01 Your reference	
02 Details of the corresponding request to record published Application no. Publication no. Date of publication (DD-MM-YYYY)	
03 Details of applicant (If the name of the applicant is not in Roman letters or in Chinese, please include a transliteration in Roman letters.)	
Name	
Name in Chinese (if applicable)	
Address	
04 Is the applicant the person named as the applicant for the Hong Kong SAR standard patent in the register?	<i>Please mark the appropriate box</i> <input type="checkbox"/> Yes <input type="checkbox"/> No
If you have marked "No", you need to submit a statement explaining the applicant's entitlement to apply for the grant of a standard patent and the prescribed documents supporting the statement. (section 23(3)(b), Patents Ordinance and section 20, Patents (General) Rules, which can be viewed at www.ipd.gov.hk)	Statement
Note 1 You must state the title of the invention in both English and Chinese.	
05 Title of invention (Note 1) English	
	Chinese

P5-3

06 Details of designated patent (a) Publication no. (b) Date of grant (DD-MM-YYYY) (c) Date of publication (if different from the date of grant) (DD-MM-YYYY)	

07 Enter the no. of sheet(s) for any of the following documents you are filing with this form (a) Additional sheet(s) attached to this form (b) Copy of the published specification of the corresponding designated patent (c) Statement explaining the applicant's entitlement to apply and the prescribed documents supporting the statement (d) Others (please specify)	No. of sheet(s)

08 If a copy of the published specification of the corresponding designated patent is filed with this form, please confirm by marking the box	<i>Please mark if applicable</i> <input type="checkbox"/> I/We confirm that the attached copy of the specification is a true copy of the document issued by or kept at the relevant designated patent office.

Note 2 An address for service in Hong Kong, China must be supplied.

09 Address for service in Hong Kong, China (Note 2) Name Address Telephone no. Facsimile no. E-mail address	

Note 3 If you are an agent, an address in Hong Kong, China where you reside or carry on your business activities must be supplied.

10 Agent's address (Note 3)	
------------------------------------	--

11 Signature

I/We confirm that I/we have read and understood the “Important notes” on page 1.

Signature

Name and Official Capacity of Signatory

Date (DD-MM-YYYY)



P6-1

由本署填寫

專利表格第 P6 號

請求批予短期專利

《專利條例》(第 514 章)

《專利(一般)規則》(第 514C 章)

重要須知

1. 一般須知：

- a. 中文或英文均可使用為法律程序所採用的語文。除非另有說明，請以中文填寫本表格。
- b. 本表格必須簽署，並註明簽署日期。
- c. 填寫本表格任何部分時，如空位不足，請以附頁書寫。每張附頁須加上頁碼，以及在 11(1)部分註明附頁的頁數。
- d. 查詢方法如下：
 - 電郵：enquiry@ipd.gov.hk
 - 網址：www.ipd.gov.hk

2. 個人資料的使用：

- a. 提供個人資料與否純屬自願，但如果提供的資料不足，專利註冊處可能無法處理你的申請、提交的要求或通知。
- b. 專利註冊處會將本表格及就本表格提交的任何文件所提供的任何個人資料，用作處理你的申請、要求或通知，以及用於 www.ipd.gov.hk/chi/home.htm 所載的收集目的。請注意，依據《專利條例》(第 514 章)第 147 條，所提供的資料全部或部分可能會提供予公眾查閱。該等資料可透過互聯網查閱。
- c. 專利註冊處在發放可供公眾查閱的資料前，可編輯由你主動提供載於本表格，或就本表格而提交的任何文件上無明確規定須提交的個人資料。請勿提供任何無明確規定須提交的個人資料(包括與第三者有關的個人資料)。
- d. 在符合《專利條例》(第 514 章)的規定下，依據《個人資料(私隱)條例》(第 486 章)第 18 和 22 條，任何人士有權查閱和改正專利註冊處備存的專利紀錄內所載其個人資料。
- e. 詳情請參閱載於 www.ipd.gov.hk/chi/home.htm 的收集個人資料聲明。

3. 其他資料的使用：

- a. 專利註冊處會將本表格及就本表格提交的任何文件所提供有關商業企業或實體的任何資料，用作處理你的申請、要求或通知，以及用於 www.ipd.gov.hk/chi/home.htm 所載的收集目的。依據《專利條例》(第 514 章)第 147 條，這些資料全部或部分可能會提供予公眾查閱。該等資料可透過互聯網查閱。
- b. 請勿提交你認為屬機密或商業敏感的自身或第三者商業資料。如本表格或就本表格提交的任何文件載有該等資料，註冊處處長將視你和有關的第三者明示及自願同意披露所有該等資料供公眾查閱。

4. 遞交申請／請求／通知：

請連同適當的費用，親自或以郵寄方式送交中國香港灣仔皇后大道東 213 號胡忠大廈 24 樓專利註冊處處長。費用一覽表可於：www.ipd.gov.hk/chi/forms_fees.htm 瀏覽。有關款項可親自以現金或郵寄支票繳交，支票須註明抬頭人為「香港特別行政區政府」。

01 來檔編號	
----------------	--

02 申請人資料 (如申請人的姓名／名稱並非採用羅馬字母或中文字，請同時以羅馬字母提供姓名／名稱的音譯。)	
中文姓名／名稱	
英文姓名／名稱(如適用)	
地址	

註1 發明的名稱必須同時以英文及中文填寫。

03 發明的名稱(註1)	英文
	中文

04 使用微生物	<i>請在適當空格內加上記號</i>
(1) 此項發明是否需要使用 微生物 才可實行？	<input type="checkbox"/> 是 <input type="checkbox"/> 否
(2) 如答「是」，請指出該種微生物是否在此項申請的提交日已可提供予公眾；以及	<input type="checkbox"/> 是 <input type="checkbox"/> 否
是否在此項申請或有關專利的說明書中用足以使擅長有關科技的人能夠實行此項發明的方式描述該微生物	<input type="checkbox"/> 是 <input type="checkbox"/> 否

<p>(3) 如在(2)部分兩部分問題的答案均為「否」，請提供以下資料：</p> <p>寄存該微生物的培養物的寄存機構名稱及地址</p> <p>寄存日期 (日日/月月/年年年年)</p> <p>存入編號 (《專利(一般)規則》第73條及附表1，詳情請瀏覽 www.ipd.gov.hk)</p>	<p>姓名/名稱</p> <p>地址</p>
<p>05 如短期專利申請是以國際申請為基礎，請填寫國際申請的資料</p> <p>(1) 國際申請編號</p> <p>(2) 國際申請提交日期 (日日/月月/年年年年)</p> <p>(3) 國際申請發表編號</p> <p>(4) 國際申請發表日期 (日日/月月/年年年年)</p> <p>(5) 在中華人民共和國進入國家階段的日期</p> <p>或</p> <p>中華人民共和國國家知識產權局的國家申請號通知書的發文日</p> <p>(6) 中國專利申請編號(如知道)</p> <p>(《專利條例》第125條和《專利(一般)規則》第78條，詳情請瀏覽www.ipd.gov.hk)</p>	<p>請在適當空格內加上記號，並填上有關日期</p> <p><input type="checkbox"/> _____ (日日/月月/年年年年)</p> <p><input type="checkbox"/> _____ (日日/月月/年年年年)</p>

註2 就較早時的申請的日期作出聲稱 –

- (1) 如屬分開申請，可根據《專利條例》第116條；
 (2) 經在批予後對專利的權利的裁定而按法院命令新提交的申請，則可根據《專利條例》第55條作出聲稱。
 《專利條例》可於 www.ipd.gov.hk 瀏覽。

06 較早時的申請

如此申請屬分開申請或源自較早時在香港特別行政區提出的申請，請填寫較早時的申請的資料
(註2)

- (1) 聲稱享有較早時的申請所根據的條文
- (2) 較早時的申請的編號
- (3) 較早時的申請的提交日期
 (日日/月月/年年年年)

請在適當空格內加上記號

《專利條例》

第116條

第55條

07 優先權申請的資料

如根據《專利條例》第111條作出聲稱享有優先權的陳述，請在以下方格提供有關的陳述及資料：
 (《專利(一般)規則》第58(5)(c)、69條，詳情請瀏覽www.ipd.gov.hk)。

陳述

國家/地區/地方

優先權申請編號

優先權申請提交日期
 (日日/月月/年年年年)

註3 如申請人並非唯一的發明人，或各申請人並非共同發明人，申請人須以表格第P6A號提交陳述，識別各有關發明人的身份，以及述明他從何取得該短期專利的權利的行使權（《專利條例》第113(2)(c)條及《專利(一般)規則》第65條，詳情請瀏覽www.ipd.gov.hk）。

08 發明人資料 (如發明人的姓名／名稱並非採用羅馬字母或中文字，請同時以羅馬字母提供姓名／名稱的音譯。) (註3)

中文姓名／名稱

英文姓名／名稱(如適用)

地址

註4 請參閱《專利條例》第109條及《專利(一般)規則》第58(5)(e)、58(5)(f)及70條關於不具損害性披露的權利要求。《專利條例》及《專利(一般)規則》可於www.ipd.gov.hk 瀏覽。

09 不具損害性的披露

如申請人按照《專利條例》第109條，就不具損害性的披露提出權利要求，請提供一份有關該項披露的詳請的陳述
(註4)

陳述

展覽或會議的
名稱及地點

展覽或會議
開始日期
(日日／月月／
年年年年)

首次披露
日期
(日日／月月／
年年年年)

10 如按照《專利條例》第119條要求押後批予專利，請在適當空格內加上記號及填寫該項押後期間。
《專利條例》可於www.ipd.gov.hk 瀏覽。
(押後期間不得超過十二個月)
(如空格內並無加上記號，則視為毋須押後批予專利。)

要求押後批予專利至 _____
(日日／月月／年年年年)

11 如提交本表格時附連下列文件， 請註明文件的頁數	頁 數
(1) 隨本表格夾附的附頁	
(2) 說明	
(3) 權利要求的資料	
(4) 繪圖	
(5) 撮錄(中文本及英文本)	
(6) 有關優先權的文件	
(7) 有關優先權文件的譯本	
(8) 查檢報告	
(9) 查檢報告譯本	
(10) 有關國際申請的副本 —	
• 由國際局所發表的國際申請	
• 國際查檢報告	
• 由中華人民共和國國家知識產權局所發表的譯本	
• 由中華人民共和國國家知識產權局所發表關於國際申請的資料	
(11) 按照《專利條例》第113(2)(c)條及《專利(一般)規則》第65條所提交的表格第P6A號發明權的陳述	
(12) 其他(請註明)	

P6-7

註5 你必須提供在中國香港的送達地址。

12 在中國香港的送達地址 (註5)

姓名／名稱

地址

電話號碼

傳真號碼

電郵地址

註6 如果你是代理人，你必須提供在中國香港的居住地址或進行業務活動的地址。

13 代理人地址 (註6)

14 簽署

我／我們確認已閱讀及明白第一頁“重要須知”的內容。

簽署

簽署人姓名及職銜

日期(日日／月月／年年年年)



Patents Form P6

Request for grant of a short-term patent

Patents Ordinance (Cap. 514)

Patents (General) Rules (Cap. 514C)

Important notes

1. General notes:

- a. Either Chinese or English can be used as the language of proceedings. Please complete this form in English unless otherwise stated.
- b. This form must be signed and dated.
- c. If there is not enough space for any part on this form, please continue on an additional sheet. Number each additional sheet and state the number of additional sheet(s) in Part 11(a).
- d. Please enquire through the following means:
 - E-mail: enquiry@ipd.gov.hk
 - Internet homepage address: www.ipd.gov.hk

2. Use of Personal data:

- a. The provision of your personal data is voluntary. However, the Patents Registry may be unable to handle your application, filing request or notice if you do not provide sufficient information.
- b. The Patents Registry will use any personal data provided in this form and any document(s) filed in relation to it for processing your application, request or notice, as well as for the purposes of collection set out at www.ipd.gov.hk/eng/home.htm. **Any of the information provided may be made available for public inspection, in full or in part, pursuant to section 147 of the Patents Ordinance, Cap. 514. Such information may be accessed through the Internet.**
- c. The Patents Registry may redact unsolicited personal data included with this form or any document(s) filed in relation to it before making them available for public inspection. Please **DO NOT provide any personal data (including personal data relating to third parties) which are not specifically required to be submitted.**
- d. Subject to the requirements of the Patents Ordinance, Cap. 514, any person has the right to request access to and correct his/her personal data held in the patent records maintained by the Patents Registry, pursuant to sections 18 and 22 of the Personal Data (Privacy) Ordinance, Cap. 486.
- e. For further details, please see the Personal Information Collection Statement at www.ipd.gov.hk/eng/home.htm.

3. Use of Other Information:

- a. The Patents Registry will use any information relating to any commercial enterprise or entity provided in this form and any document(s) filed in relation to it for processing your application, request or notice, and for the purposes of collection set out at www.ipd.gov.hk/eng/home.htm. **They may be made available for public inspection, in full or in part, pursuant to section 147 of the Patents Ordinance, Cap. 514. Such information may be accessed through the Internet.**
- b. **DO NOT include any business information of your own or that of third parties which you consider to be confidential or commercially sensitive.** Where such information is included in this form or any document(s) filed in relation to it, the Registrar will treat it an express and voluntary consent given by you and any such third party to disclosure of all such information for the purpose of public inspection.

4. Submission of application/request/notice:

In person or by mail with the appropriate fee to the Registrar of Patents, 24/F, Wu Chung House, No. 213 Queen's Road East, Wanchai, Hong Kong, China. The fee schedule can be viewed at www.ipd.gov.hk/eng/forms_fees.htm. Payment can be made in person by cash, or by sending a cheque made payable to THE GOVERNMENT OF THE HONG KONG SPECIAL ADMINISTRATIVE REGION.

P6-2

01 Your reference	
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02 Details of applicant <i>(If the name of the applicant is not in Roman letters or in Chinese, please include a transliteration in Roman letters.)</i>
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Name

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Name in Chinese <i>(if applicable)</i>
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Address

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Note 1 You must state the title of the invention in both English and Chinese.
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03 Title of invention <i>(Note 1)</i> English Chinese	

04 Use of micro-organisms (a) Does the invention require the use of a micro-organism for its performance? (b) If you have marked "Yes", please indicate whether the micro-organism is available to the public at the date of filing of the application; and whether the micro-organism is described in the application or the specification of the patent in such a manner as to enable the invention to be performed by a person skilled in the art.	<i>Please mark the appropriate box</i> <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No
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<p>(c) If you have marked "No" in both boxes in (b), please give the following details:</p> <p>Name and address of the depositary institution where a culture of the micro-organism is deposited</p> <p>Date of deposit (DD-MM-YYYY)</p> <p>Accession no. of the deposit</p> <p><i>(section 73 and Schedule 1, Patents (General) Rules, which can be viewed at www.ipd.gov.hk)</i></p>	Name
	Address

<p>05 Details of international application If the short-term patent application is based on</p> <p>(a) International application no.</p> <p>(b) International filing date (DD-MM-YYYY)</p> <p>(c) International publication no.</p> <p>(d) International publication date (DD-MM-YYYY)</p> <p>(e) Date of entry into the national phase in the People's Republic of China</p> <p>or</p> <p>Date of issuance of the National Application Notification by the State Intellectual Property Office, People's Republic of China</p> <p>(f) Application no. of the Chinese patent application <i>(if known)</i></p> <p><i>(section 125, Patents Ordinance and section 78, Patents (General) Rules, which can be viewed at www.ipd.gov.hk)</i></p>	
	<p><i>Please mark the appropriate box and enter the date in the space provided</i></p> <p><input type="checkbox"/> _____ (DD-MM-YYYY)</p>
	<p><input type="checkbox"/> _____ (DD-MM-YYYY)</p>

Note 2 A claim of earlier application date may be made under:
 (a) section 116, Patents Ordinance for divisional application;
 (b) section 55, Patents Ordinance for new application filed on court order upon determination of right to patent after grant.
 The Patents Ordinance can be viewed at www.ipd.gov.hk.

06 Details of earlier application If the application is divided or derived from an earlier Hong Kong SAR application (<i>Note 2</i>) (a) Section under which an earlier application is claimed (b) Earlier application no. (c) Earlier application filing date (DD-MM-YYYY)	<i>Please mark the appropriate box</i> Patents Ordinance <input type="checkbox"/> Section 116 <input type="checkbox"/> Section 55

07 Details of priority application
 If a statement of claim of priority under section 111, Patents Ordinance is made, please provide a statement and details in the space below:
 (sections 58(5)(c), 69, Patents (General) Rules, which can be viewed at www.ipd.gov.hk)

Statement

Country/Territory/Area	Priority application no.	Priority application filing date (DD-MM-YYYY)

Note 3 If the applicant is not the sole inventor or the applicants are not the joint inventors, a statement identifying the inventor(s) and indicating the derivation of the applicant's entitlement to exercise his right to the short-term patent shall be made on Form P6A (section 113(2)(c), Patents Ordinance and section 65, Patents (General) Rules, which can be viewed at www.ipd.gov.hk).

08 Details of inventor (If the name of the inventor is not in Roman letters or in Chinese, please include a transliteration in Roman letters.) (*Note 3*)

Name

Name in Chinese (if applicable)

Address

Note 4 Please refer to section 109, Patents Ordinance and sections 58(5)(e), 58(5)(f), 70, Patents (General) Rules for claim of non-prejudicial disclosure. The Patents Ordinance and Patents (General) Rules can be viewed at www.ipd.gov.hk.

<p>09 Non-prejudicial disclosure If the applicant is making a claim regarding non-prejudicial disclosure under section 109, Patents Ordinance, please provide a statement giving details relating to such disclosure. <i>(Note 4)</i></p>	Statement		
	Name and place of the exhibition or meeting	Opening date of the exhibition or meeting <i>(DD-MM-YYYY)</i>	Date of first disclosure <i>(DD-MM-YYYY)</i>

<p>10 If a request for deferral of grant under section 119, Patents Ordinance is required, please mark the box and enter the period of such deferral. The Patents Ordinance can be viewed at www.ipd.gov.hk. <i>(The period of deferral should not exceed 12 months.)</i> <i>(If the box is not marked, it will be taken that deferral of grant is not requested.)</i></p>	<p><input type="checkbox"/> Request for deferral of grant up to _____ <i>(DD-MM-YYYY)</i></p>
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<p>11 Enter the no. of sheet(s) for any of the following documents you are filing with this form</p> <p>(a) Additional sheet(s) attached to this form</p> <p>(b) Description</p> <p>(c) Claim(s)</p> <p>(d) Drawing(s)</p> <p>(e) Abstract <i>(in both English and Chinese)</i></p> <p>(f) Priority document(s)</p> <p>(g) Translation of the priority document(s)</p> <p>(h) Search report</p> <p>(i) Translation of the search report</p>	No. of sheet(s)

<p>(j) In the case of an international application, copy of :</p> <p>(i) the international application as published by the International Bureau</p> <p>(ii) the international search report</p> <p>(iii) translation as published by the State Intellectual Property Office, People's Republic of China</p> <p>(iv) publication of information by the State Intellectual Property Office, People's Republic of China concerning the international application</p> <p>(k) Statement of inventorship on Form P6A in accordance with section 113(2)(c), Patents Ordinance and section 65, Patents (General) Rules</p> <p>(l) Others (<i>please specify</i>)</p>	

Note 5 An address for service in Hong Kong, China must be supplied.

<p>12 Address for service in Hong Kong, China (Note 5)</p> <p>Name</p> <p>Address</p> <p>Telephone no.</p> <p>Facsimile no.</p> <p>E-mail address</p>	

Note 6 If you are an agent, an address in Hong Kong, China where you reside or carry on your business activities must be supplied.

<p>13 Agent's address (Note 6)</p>	
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14 Signature

I/We confirm that I/we have read and understood the “Important notes” on page 1.

Signature

Name and Official Capacity of Signatory

Date (DD-MM-YYYY)